

# On the core of a unicyclic graph

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## Abstract

A set  $S \subseteq V$  is *independent* in a graph  $G = (V, E)$  if no two vertices from  $S$  are adjacent. By  $\text{core}(G)$  we mean the intersection of all maximum independent sets. The *independence number*  $\alpha(G)$  is the cardinality of a maximum independent set, while  $\mu(G)$  is the size of a maximum matching in  $G$ .

A connected graph having only one cycle, say  $C$ , is a *unicyclic graph*. In this paper we prove that if  $G$  is a unicyclic graph of order  $n$  and  $n - 1 = \alpha(G) + \mu(G)$ , then  $\text{core}(G)$  coincides with the union of cores of all trees in  $G - C$ .

*Keywords:* Maximum independent set, core, matching, unicyclic graph, König-Egerváry graph.

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## 1 Introduction

Throughout this paper  $G = (V, E)$  is a simple (i.e., a finite, undirected, loopless and without multiple edges) graph with vertex set  $V = V(G)$  and edge set  $E = E(G)$ . If  $X \subset V$ , then  $G[X]$  is the subgraph of  $G$  spanned by  $X$ . By  $G - W$  we mean the subgraph  $G[V - W]$ , if  $W \subset V(G)$ . For  $F \subset E(G)$ , by  $G - F$  we denote the partial subgraph of  $G$  obtained by deleting the edges of  $F$ , and we use  $G - e$ , if  $W = \{e\}$ . If  $A, B \subset V$  and  $A \cap B = \emptyset$ , then  $(A, B)$  stands for the set  $\{e = ab : a \in A, b \in B, e \in E\}$ . The neighborhood of a vertex  $v \in V$  is the set  $N(v) = \{w : w \in V \text{ and } vw \in E\}$ , and  $N(A) = \cup\{N(v) : v \in A\}$ ,  $N[A] = A \cup N(A)$  for  $A \subset V$ . By  $C_n, K_n$  we mean the chordless cycle on  $n \geq 4$  vertices, and respectively the complete graph on  $n \geq 1$  vertices.

A set  $S$  of vertices is *independent* if no two vertices from  $S$  are adjacent, and an independent set of maximum size will be referred to as a *maximum independent set*. The

independence number of  $G$ , denoted by  $\alpha(G)$ , is the size of a maximum independent set of  $G$ . Let  $\Omega(G)$  denote the family  $\{S : S \text{ is a maximum independent set of } G\}$ , while

$$\text{core}(G) = \cap\{S : S \in \Omega(G)\} \text{ [11].}$$

An edge  $e \in E(G)$  is  $\alpha$ -critical whenever  $\alpha(G - e) > \alpha(G)$ . Notice that the inequalities  $\alpha(G) \leq \alpha(G - e) \leq \alpha(G) + 1$  hold for each edge  $e$ .

A matching (i.e., a set of non-incident edges of  $G$ ) of maximum cardinality  $\mu(G)$  is a maximum matching, and a perfect matching is one covering all vertices of  $G$ . An edge  $e \in E(G)$  is  $\mu$ -critical provided  $\mu(G - e) < \mu(G)$ .

**Theorem 1.1.** [13] For every graph  $G$  no  $\alpha$ -critical edge has an endpoint in  $N[\text{core}(G)]$ .

It is well-known that

$$\lfloor n/2 \rfloor + 1 \leq \alpha(G) + \mu(G) \leq n$$

hold for every graph  $G$  with  $n$  vertices. If  $\alpha(G) + \mu(G) = n$ , then  $G$  is called a König-Egerváry graph [3, 19]. Several properties of König-Egerváry graphs are presented in [6, 9, 10, 12, 15, 16].

It is known that every bipartite graph is a König-Egerváry graph as well [5, 8]. This class includes also non-bipartite graphs (see, for instance, the graph  $G$  in Figure 1).

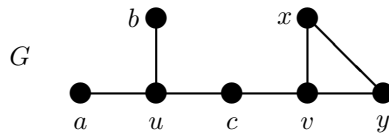


Figure 1: A König-Egerváry graph with  $\alpha(G) = |\{a, b, c, x\}|$  and  $\mu(G) = |\{au, cv, xy\}|$ .

**Theorem 1.2.** If  $G$  is a König-Egerváry graph, then

- (i) [12] every maximum matching matches  $N(\text{core}(G))$  into  $\text{core}(G)$ ;
- (ii) [13]  $H = G - N[\text{core}(G)]$  is a König-Egerváry graph with a perfect matching and each maximum matching of  $H$  can be enlarged to a maximum matching of  $G$ .

The graph  $G$  is called unicyclic if it is connected and has a unique cycle, which we denote by  $C = (V(C), E(C))$ . Let

$$N_1(C) = \{v : v \in V(G) - V(C), N(v) \cap V(C) \neq \emptyset\},$$

and  $T_x = (V_x, E_x)$  be the tree of  $G - xy$  containing  $x$ , where  $x \in N_1(C), y \in V(C)$ .

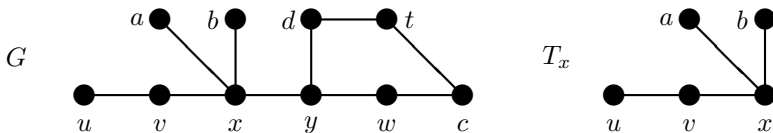


Figure 2:  $G$  is a unicyclic non-König-Egerváry graph with  $V(C) = \{y, d, t, c, w\}$ .

Unicyclic graphs keep enjoying plenty of interest, as one can see, for instance, in [1, 4, 7, 14, 18, 20, 21].

In this paper we analyze the structure of  $\text{core}(G)$  for a unicyclic graph  $G$ .

## 2 Results

If  $G$  is a unicyclic graph, then there is an edge  $e \in E(C)$ , such that  $\mu(G - e) = \mu(G)$ , because for each pair of edges, consecutive on  $C$ , at most one could be  $\mu$ -critical. Let us mention that  $\alpha(G) \leq \alpha(G - e) \leq \alpha(G) + 1$  holds for each edge  $e \in E(G)$ . Every edge of the unique cycle could be  $\alpha$ -critical; e.g., the graph  $G$  from Figure 2, which has also additional  $\alpha$ -critical edges (e.g., the edge  $uv$ ).

Notice that the bipartite graph  $T_x$  from Figure 2 has only two maximum matchings, namely,  $M_1 = \{ax, uv\}$  and  $M_2 = \{bx, uv\}$ , while for each maximum matching there is a vertex in  $\text{core}(T_x) = \{a, b\}$  not saturated by that matching.

**Lemma 2.1.** For every bipartite graph  $G$ , a vertex  $v \in \text{core}(G)$  if and only if there exists a maximum matching that does not saturate  $v$ .

*Proof.* Since  $v \in \text{core}(G)$ , it follows that  $\alpha(G - v) = \alpha(G) - 1$ . Consequently, we have

$$\alpha(G) + \mu(G) - 1 = |V(G)| - 1 = |V(G - v)| = \alpha(G - v) + \mu(G - v)$$

which implies that  $\mu(G) = \mu(G - v)$ . In other words, there is a maximum matching in  $G$  not saturating  $v$ .

Conversely, suppose that there exists a maximum matching in  $G$  that does not saturate  $v$ . Since, by Theorem 1.2(i),  $N(\text{core}(G))$  is matched into  $\text{core}(G)$  by every maximum matching, it follows that  $v \notin N(\text{core}(G))$ .

Assume that  $v \notin \text{core}(G)$ . By Theorem 1.2(ii), every maximum matching  $M$  of  $G$  is of the form  $M = M_1 \cup M_2$ , where  $M_1$  matches  $N(\text{core}(G))$  into  $\text{core}(G)$ , while  $M_2$  is a perfect matching of  $G - N[\text{core}(G)]$ . Thus  $v$  is saturated by every maximum matching of  $G$ , in contradiction with the hypothesis on  $v$ .  $\square$

**Remark 2.2.** Lemma 2.1 fails for non-bipartite König-Egerváry graphs; e.g., every maximum matching of the graph  $G$  from Figure 1 saturates  $c \in \text{core}(G) = \{a, b, c\}$ .

**Lemma 2.3.** If  $G$  is a unicyclic graph of order  $n$ , then  $n - 1 \leq \alpha(G) + \mu(G) \leq n$ .

*Proof.* If  $e = xy \in E(C)$ , then  $G - e$  is a tree, because  $G$  is connected. Hence,  $\alpha(G - e) + \mu(G - e) = n$ . Clearly,  $\alpha(G - e) \leq \alpha(G) + 1$ , while  $\mu(G - e) \leq \mu(G)$ . Consequently, we get that

$$n = \alpha(G - e) + \mu(G - e) \leq \alpha(G) + \mu(G) + 1,$$

which leads to  $n - 1 \leq \alpha(G) + \mu(G)$ . The inequality  $\alpha(G) + \mu(G) \leq n$  is true for every graph  $G$ .  $\square$

**Remark 2.4.** If  $G$  has  $n$  vertices,  $p$  connected components, say  $H_i, 1 \leq i \leq p$ , and each component contains only one cycle, then one can easily see that  $n - p \leq \alpha(G) + \mu(G) \leq n$ ,

because  $\alpha(G) = \sum_{i=1}^p \alpha(H_i)$  and  $\mu(G) = \sum_{i=1}^p \mu(H_i)$ .

While  $C_{2k}, k \geq 2$ , has no  $\alpha$ -critical edge at all, each edge of every odd cycle  $C_{2k-1}, k \geq 2$ , is  $\alpha$ -critical. This property is partially inherited by unicyclic graphs.

**Lemma 2.5.** Let  $G$  be a unicyclic graph of order  $n$ . Then  $n - 1 = \alpha(G) + \mu(G)$  if and only if each edge of its unique cycle is  $\alpha$ -critical.

*Proof.* Assume that  $n - 1 = \alpha(G) + \mu(G)$ . Since  $G$  is connected, for each  $e \in E(C)$  the graph  $G - e$  is a tree. Hence, we have

$$\alpha(G - e) - \alpha(G) + \mu(G - e) - \mu(G) = 1,$$

which implies  $\mu(G - e) = \mu(G)$  and  $\alpha(G - e) = \alpha(G) + 1$ , since

$$-1 \leq \mu(G - e) - \mu(G) \leq 0 \leq \alpha(G - e) - \alpha(G) \leq 1.$$

In other words, every  $e \in E(C)$  is  $\alpha$ -critical.

Conversely, let  $e \in E(C)$  be such that  $\mu(G - e) = \mu(G)$ ; such an edge exists, because no two consecutive edges on  $C$  could be  $\mu$ -critical. Since  $e$  is  $\alpha$ -critical, and  $G - e$  is a tree, we infer that

$$n - 1 = \alpha(G - e) + \mu(G - e) - 1 = \alpha(G) + \mu(G),$$

and this completes the proof. □

Combining Lemma 2.5 and Theorem 1.1, we infer the following.

**Corollary 2.6.** If  $G$  is a unicyclic non-König-Egerváry graph, then no vertex of its unique cycle belongs to  $N[\text{core}(G)]$ .

**Remark 2.7.** Corollary 2.6 is true also for some unicyclic König-Egerváry graphs; e.g., the graph  $H_1$  from Figure 3. However, the König-Egerváry graph  $H_2$  from the same figure satisfies  $N[\text{core}(H_2)] \cap V(C) = \{u\} \neq \emptyset$ .

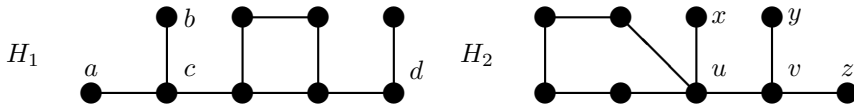


Figure 3:  $H_1$  and  $H_2$  have  $N[\text{core}(H_1)] = \{a, b, c\}$ ,  $N[\text{core}(H_2)] = \{x, y, z, u, v\}$ .

**Lemma 2.8.** Let  $G$  be a unicyclic graph of order  $n$ . If there exists some  $x \in N_1(C)$ , such that  $x \in \text{core}(T_x)$ , then  $G$  is a König-Egerváry graph.

*Proof.* Let  $x \in \text{core}(T_x)$ ,  $y \in N(x) \cap V(C)$ , and  $z \in N(y) \cap V(C)$ . Suppose, to the contrary, that  $G$  is not a König-Egerváry graph. By Lemmas 2.3 and 2.5, the edge  $yz$  is  $\alpha$ -critical. Hence  $y \notin \text{core}(G)$ , which implies that  $\alpha(G) = \alpha(G - y)$ . In accordance with Lemma 2.1, there exists a maximum matching  $M_x$  of  $T_x$  not saturating  $x$ . Combining  $M_x$  with a maximum matching of  $G - y - T_x$  we get a maximum matching  $M_y$  of  $G - y$ . Hence  $M_y \cup \{xy\}$  is a matching of  $G$ , which results in  $\mu(G) \geq \mu(G - y) + 1$ . Therefore, using Lemma 2.3 and having in mind that  $G - y$  is a forest of order  $n - 1$ , we get the following contradiction

$$n - 1 = \alpha(G) + \mu(G) \geq \alpha(G - y) + \mu(G - y) + 1 = n - 1 + 1 = n,$$

that completes the proof. □

**Remark 2.9.** The converse of Lemma 2.8 is not generally true; e.g., the graph  $H_1$  from Figure 3 is a unicyclic König-Egerváry graph, while both  $c \notin \text{core}(T_c) = \{a, b\}$ , and  $d \notin \text{core}(T_d) = \emptyset$ .

**Theorem 2.10.** If  $G$  is a unicyclic non-König-Egerváry graph, then

$$\text{core}(G) = \cup \{ \text{core}(T_x) : x \in N_1(C) \} .$$

*Proof. Claim 1.* Every maximum independent set of  $T_x$  may be enlarged to some maximum independent set of  $G$ , for each  $x \in N_1(C)$ .

Let  $A \in \Omega(T_x)$ ,  $y \in N(x) \cap V(C)$ , and  $z \in N(y) \cap V(C)$ . According to Lemma 2.5, the edge  $yz$  is  $\alpha$ -critical. Hence there exist  $S_y \in \Omega(G)$ ,  $S_{yz} \in \Omega(G - yz)$ , such that  $y \in S_y$  and  $y, z \in S_{yz}$ .

*Case 1.* Assume that  $x \notin A$ .

If  $|S_y - V(T_x)| < \alpha(G - T_x) = |S_0|$ , where  $S_0 \in \Omega(G - T_x)$ , then the set  $S_1 = S_0 \cup (S_y \cap V(T_x))$  is independent in  $G$ , and we get the contradiction

$$\alpha(G) = |S_y - V(T_x)| + |S_y \cap V(T_x)| < |S_0| + |S_y \cap V(T_x)| = |S_1| .$$

Therefore, we have  $|S_y - V(T_x)| = \alpha(G - T_x)$ . Then  $A \cup (S_y - V(T_x)) \in \Omega(G)$ , otherwise we obtain the following contradiction

$$|S_y - V(T_x)| + |A| < \alpha(G) \leq \alpha(G - T_x) + \alpha(T_x) = |S_y - V(T_x)| + |A| .$$

*Case 2.* Assume now that  $x \in A$ .

Then we have  $|A| \geq |S_{yz} \cap V(T_x)|$ , because  $S_{yz} \cap V(T_x)$  is independent in  $T_x$ . Hence we infer

$$\begin{aligned} \alpha(G) &= |S_{yz} - \{y\}| \leq |(S_{yz} - \{y\}) - (S_{yz} \cap V(T_x)) \cup A| = \\ &= |(S_{yz} - \{y\}) - V(T_x) \cup A| . \end{aligned}$$

Since  $W = (S_{yz} - \{y\}) - V(T_x) \cup A$  is independent and its size is  $\alpha(G)$  at least, it follows that  $W$  is also a maximum independent set, i.e., we have  $A \subseteq W \in \Omega(G)$ , as needed.

*Claim 2.*  $S \cap V(T_x) \in \Omega(T_x)$  for every  $S \in \Omega(G)$  and each  $x \in N_1(C)$ .

Let  $S \in \Omega(G)$ , and suppose, to the contrary, that  $A = S \cap V(T_x) \notin \Omega(T_x)$ . By Lemma 2.8,  $x \notin \text{core}(T_x)$ . Thus we can change  $A$  for some  $B \in \Omega(T_x)$  not containing  $x$ . The set  $(S - A) \cup B$  is clearly independent in  $G$ , and this leads to the contradiction  $|(S - A) \cup B| = |S - A| + |B| > |S| = \alpha(G)$ .

Combining Claims 1 and 2, we infer that:

$$\begin{aligned} \text{core}(T_x) &= \cap \{ A : A \in \Omega(T_x) \} = \cap \{ S \cap V(T_x) : S \in \Omega(G) \} \\ &= (\cap \{ S : S \in \Omega(G) \}) \cap V(T_x) = \text{core}(G) \cap V(T_x) , \end{aligned}$$

which clearly implies

$$\text{core}(G) = \cup \{ \text{core}(T_x) : x \in N(V(C)) - V(C) \}$$

as required. □

**Remark 2.11.** The assertion in Theorem 2.10 may fail for:

(i) bipartite unicyclic graphs; for example, the graphs  $H_1, H_2$  from Figure 4 satisfy

$$\begin{aligned} \text{core}(H_1) &= \cup \{ \text{core}(T_x) : x \in N_1(C) \}, \text{ and} \\ \text{core}(H_2) &\neq \{x, z\} = \cup \{ \text{core}(T_x) : x \in N_1(C) \}; \end{aligned}$$

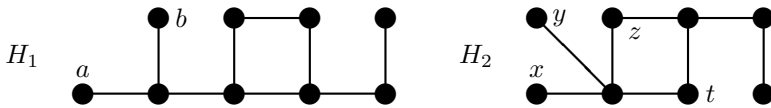


Figure 4:  $H_1, H_2$  are bipartite unicyclic graphs,  $\text{core}(H_1) = \{a, b\}$ ,  $\text{core}(H_2) = \{t, x, y, z\}$ .

(ii) non-bipartite König-Egerváry unicyclic graphs; for instance,

$$\begin{aligned} \text{core}(G_2) &\neq \{t, z\} = \cup \{ \text{core}(T_x) : x \in N_1(C) \}, \text{ while} \\ \text{core}(G_1) &= \cup \{ \text{core}(T_x) : x \in N_1(C) \}, \end{aligned}$$

where  $G_1$  and  $G_2$  are from Figure 5.

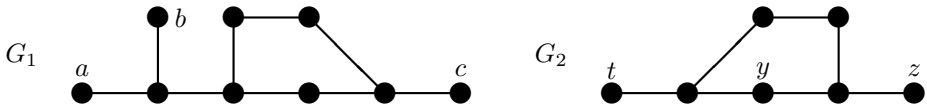


Figure 5:  $G_1, G_2$  are König-Egerváry graphs,  $\text{core}(G_1) = \{a, b, c\}$ ,  $\text{core}(G_2) = \{t, y, z\}$ .

It is worth mentioning that the problem of whether there are vertices in a given graph  $G$  belonging to  $\text{core}(G)$  is **NP-hard** [2]. In [17] we have presented both sequential and parallel algorithms finding  $\text{core}(G)$  in polynomial time for König-Egerváry graphs. By Theorem 2.10, a unicyclic graph is either a König-Egerváry graph or its  $\text{core}(G)$  equals a union of cores of a finite number of some special subtrees. Therefore, we get the following.

**Corollary 2.12.** If  $G$  is a unicyclic graph, then  $\text{core}(G)$  is computable in polynomial time.

### 3 Conclusions

The main purpose of this paper is to investigate the structure of  $\text{core}(G)$  for unicyclic graphs. One the one hand, we have succeeded to represent  $\text{core}(G)$  as the union of cores of some specific subtrees of a non König-Egerváry unicyclic graph  $G$ . On the other hand, it is still not clear if there exists a characterization of this kind for bipartite unicyclic graphs and/or non-bipartite König-Egerváry graphs.

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