

# Characterizing all graphs with 2-exceptional edges\*

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## Abstract

Dirac and Shuster in 1954 exhibited a simple proof of Kuratowski theorem by showing that any 1-crossing-critical edge of  $G$  belongs to a Kuratowski subdivision of  $G$ . In 1983, Širáň extended this result to any 2-crossing-critical edge  $e$  with endvertices  $b$  and  $c$  of a graph  $G$  with crossing number at least two, whenever no two blocks of  $G - b - c$  contain all its vertices. Calling an edge  $f$  of  $G$  *k-exceptional* whenever  $f$  is  $k$ -crossing-critical and it does not belong to any Kuratowski subgraph of  $G$ , he showed that simple 3-connected graphs with  $k$ -exceptional edges exist for any  $k \geq 6$ , and they exist even for arbitrarily large difference of  $\text{cr}(G) - \text{cr}(G - f)$ . In 1991, Kochol constructed such examples for any  $k \geq 4$ , and commented that Širáň's result holds for any simple graph.

Examining the case when two blocks contain all the vertices of  $G - b - c$ , we show that graphs with  $k$ -exceptional edges exist for any  $k \geq 2$ , albeit not necessarily simple. We confirm that no such simple graphs with 2-exceptional edges exist by applying the techniques of the recent characterization of 2-crossing-critical graphs to explicitly describe the set of all graphs with 2-exceptional edges and noting they all contain parallel edges. In this context, the paper can be read as an accessible prelude to the characterization of 2-crossing-critical graphs.

*Keywords:* Kuratowski subgraphs, crossing number, exceptional edges.

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# 1 Introduction

The *crossing number*  $cr(G)$  of a graph  $G$  is the minimum number of pairwise crossings of edges in a drawing of  $G$  in the plane. An edge  $e$  of a graph  $G$  is said to be *k-crossing-critical*, if  $cr(G) \geq k > cr(G - e)$ , and a graph is *k-crossing-critical*, if each its edge is *k-crossing-critical*. Therefore  $K_{3,3}$  and  $K_5$  are the only 3-connected 1-crossing-critical graphs. Any subdivision of  $K_{3,3}$  or  $K_5$  in  $G$  is called a *Kuratowski subgraph* of  $G$  and an edge  $e$  is a *Kuratowski edge*, if  $e$  belongs to a Kuratowski subgraph of  $G$ . Any edge of  $G$  which is not a Kuratowski edge, will be called a *non-Kuratowski edge*. Following [12], we call an edge  $e$  of  $G$  *k-exceptional* if  $e$  is *k-crossing-critical* and  $e$  is a non-Kuratowski edge. Note that the existence of a *k-exceptional* edge in  $G$  for  $k > 0$  implies the existence of a Kuratowski subgraph, and hence that  $G$  is non-planar. Since loops are irrelevant for crossing number purposes, all graphs in this paper are loopless, but they may have multiple edges.

In their simple proof of Kuratowski theorem from 1954, Dirac and Shuster established that any 1-crossing-critical edge  $e$  of a graph  $G$  belongs to a Kuratowski subdivision of  $G$  [6]. In 1983, Širáň showed that the number of non-Kuratowski edges (and hence the number of exceptional edges) of a 3-connected simple non-planar graph of order at least 6 is at most 4 [13]. The following statement was exhibited in the same year.

**Statement 1.1** (Theorem 2 in [12]). *Let  $e$  be a crossing-critical edge of a graph  $G$ , for which  $cr(G - e) \leq 1$ . Then  $e$  belongs to a Kuratowski subgraph of  $G$ .*

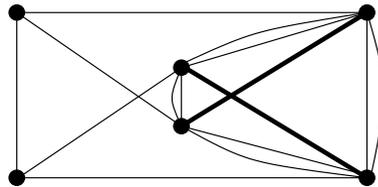


Figure 1: A minimal graph with two 2-exceptional edges.

We have found a family of exceptions (see Figure 1) to Statement 1.1, i.e. a family of graphs with 2-exceptional edges. That such graphs exist was already exhibited by Kochol [8], who noted without proof that Širáň’s result may only be true for simple graphs. Closely investigating Širáň’s proof, it establishes [12] the following:

**Theorem 1.2** (Theorem 2 in [12]). *Let  $e$  with endvertices  $b$  and  $c$  be a crossing-critical edge of a graph  $G$  for which  $cr(G - e) \leq 1$ . If no two blocks of  $G - b - c$  contain all its vertices, then  $e$  belongs to a Kuratowski subgraph of  $G$ .*

The correct statement indicates that the structure of graphs with 2-exceptional edges is limited, and the aim of the present paper is to characterize these graphs, i.e. to explicitly describe the family  $\mathcal{E}$  of graphs with 2-exceptional edges.

The rest of this paper is organized as follows. In the following section, we exhibit some known and new properties of Kuratowski edges in graphs, and offer their characterization in Theorem 2.6, as well as introduce our main result. In Section 3, we sketch our

overall approach, which follows a simplified version of the recent characterizataton of 2-crossing-critical graphs [4]. The description of all 3-connected graphs with 2-exceptional edges is given in Section 4, along with the proof of the sufficiency direction of the characterization and some other properties of graphs with 2-exceptional edges.

The remainder of the paper is devoted to proving necessity of the characterization of 3-connected graphs with 2-exceptional edges. A skeleton graph, the basic subgraph that is used to describe 3-connected graphs with exceptional 2-edges, is studied in Section 5. Bridges of the skeleton graph are studied in Section 6. Also the necessity of characterization is established there. We conclude with some corollaries bearing upon existence of  $k$ -exceptional edges and some open problems in Section 7.

## 2 Kuratowski edges

First we introduce some notation, aligned with the notation of [4]. Any vertex of a graph  $G$  of degree at least 3 is called a *node* of  $G$ . A *branch* is a maximal path with no internal nodes connecting two nodes of  $G$ . Two distinct nodes  $u, v$  of a subdivision  $K$  of  $K_{3,3}$  are said to be *independent* if any  $u, v$ -path in  $K$  contains a node of  $K$  different from  $u$  and  $v$ , i.e. if there is no branch between them. Let  $A, B$  be either two subsets of  $V(G)$  or two subgraphs of  $G$ . Then, an  $A, B$ -path is a path with first end in  $A$  and last end in  $B$  that is internally disjoint from both  $A$  and  $B$ .

When  $A = \{s\}$  and  $B = \{t\}$  are just vertices, we shorten the notation to just  $s, t$ -path. When the ends need to be emphasized, we write  $P = sPt = [sPt]$ , the former emphasizing ends of  $P$  and the latter emphasizing that the complete path with ends is considered. When either end or both ends of  $P$  are removed from the path, we use  $P - t = [sPt)$ ,  $P - s = (sPt]$ , and  $P - s - t = (sPt)$ . We refer to these paths as the (*semi*) *open* paths  $P$ .

Let  $G$  be a graph and  $H$  its subgraph. A path  $P$  is  $H$ -*avoiding*, if all the non-end vertices and all the edges of  $P$  are not in  $H$ . The ends of an  $H$ -avoiding path are allowed to be in  $H$ .

In [13], J. Širáň gave a characterization of Kuratowski edges in  $k$ -connected graphs with  $k \geq 3$ , cf. Lemmas 2.1 and 2.2. In this section, we extend his a characterization to any graph. Next two lemmas were proved in [13] and will be very useful for our purposes.

**Lemma 2.1** (Lemma 1 in [13]). *Let  $K$  be a subdivision of  $K_{3,3}$  and let  $u, v$  be distinct vertices of  $K$ . Let  $K' := K + P$  be a graph obtained by joining  $u, v$  with a path  $P$  internally disjoint of  $K$ . Then any edge of  $P$  is Kuratowski edge of  $K'$  if and only if  $u, v$  are not independent nodes of  $K$ .*

**Lemma 2.2** (Lemma 2 in [13]). *Let  $G$  be a 3-connected non-planar graph. Let  $e = uv$  be an edge of  $G$  which belongs to no subdivision of  $K_{3,3}$  in  $G$ . Then  $u, v$  are independent vertices of any subdivision of  $K_{3,3}$  in  $G$ .*

Although [13] considered only simple graphs, it is easy to see that the two lemmas apply to multigraphs as well. Our first statement is an easy exercise.

**Lemma 2.3.** *Let  $G$  be a graph and let  $G'$  be a subdivision of  $G$ . Let  $e$  be an edge of  $G$  and let  $P$  be the path of  $G'$  obtained by subdividing  $e$ . The following are equivalent:*

- (i)  $e$  is a Kuratowski edge of  $G$ ,
- (ii) every edge of  $P$  is a Kuratowski edge of  $G'$ ,

(iii) some edge of  $P$  is a Kuratowski edge of  $G'$ .

The proof of our next result is essentially the same as that of Lemma 1 in [13].

**Lemma 2.4.**

- (i) Let  $K$  be a subdivision of  $K_5$  and let  $u, v$  be distinct vertices of  $K$ . Let  $K' := K + P$  be a graph obtained by joining  $u, v$  with a path  $P$  internally disjoint of  $K$ . Then any edge of  $P$  is a Kuratowski edge of  $K'$ .
- (ii) Let  $G$  be a 2-connected graph that contains a subdivision of  $K_5$  as a subgraph. Then every edge of  $G$  is a Kuratowski edge.

*Proof.* For (i), one can easily check using the symmetry of  $K_5$  that there are exactly six homeomorphism classes of graphs to which  $K'$  can belong. In all of them, it is easy to find the required subdivision of  $K_5$  or  $K_{3,3}$  in  $K'$ : if both  $u, v$  are on the same branch of  $K$ , a slight rerouting establishes the claim. In other cases we find a suitable  $K_{3,3}$  subdivision including a degree-three vertex in one part, and its nearest degree at least 3 vertices in the other part.

For (ii), let  $e$  be an edge of  $G$  with ends  $u$  and  $v$  and let  $K$  be a subgraph of  $G$ , which is isomorphic to a subdivision of  $K_5$ . If  $e \in K$ , we are done. Thus, we may assume that  $e$  is not an edge of  $K$ . By Menger’s theorem,  $G$  contains two disjoint paths  $P_1 := uP_1x_1$  and  $P_2 := vP_2x_2$  with  $x_1, x_2 \in V(K)$  such that  $V(K) \cap P_i = \{x_i\}$  for  $i = 1, 2$ . Now by applying (i) to  $P := x_1P_1uevP_2x_2$  and  $K$ , we have that  $e$  is a Kuratowski edge. □

The following is immediate from the definition of exceptional edge, Kuratowski’s theorem and Lemma 2.4.

**Lemma 2.5.** *If  $G$  is a 2-connected graph containing exceptional edges, then  $G$  contains at least one Kuratowski subgraph and every Kuratowski subgraph of  $G$  is a subdivision of  $K_{3,3}$ .*

The above gives the following characterization of Kuratowski edges in general graphs:

**Theorem 2.6.** *Let  $G$  be a graph and let  $e$  be an edge of  $G$ . Then  $e$  is a Kuratowski edge of  $G$  if and only if  $G$  contains a Kuratowski subgraph  $K$  and a path  $P$  such that:*

- (i)  $P$  contains  $e$ ,
- (ii)  $P$  joins distinct vertices  $u, v$  of  $K$ ,
- (iii)  $u, v$  are not independent nodes of  $K$ , and
- (iv) either  $P$  is contained in  $K$  or  $P$  is internally disjoint from  $K$ .

*Proof.* The necessity part is immediate: if  $e$  is a Kuratowski edge of  $G$ , then  $e$  belongs to a Kuratowski subgraph  $K_1$  and  $K := K_1, P := e$  satisfy conditions (i)–(iv).

The sufficiency follows from previous lemmas: assume that  $G$  contains subgraphs  $K$  and  $P$  satisfying (i)–(iv), and apply Lemma 2.1 or 2.4 to the pair  $K, P$  depending on whether  $K$  is a subdivision of  $K_{3,3}$  or  $K_5$ . □

This characterization is important due to the following corollary, which allows us to restrict ourselves to 2-connected graphs when characterizing all graphs with 2-exceptional edges:

**Corollary 2.7.** *Let  $G$  be a graph, let  $e$  be an edge of  $G$  and let  $B$  be the block of  $G$  containing  $e$ . Then  $e$  is a Kuratowski edge of  $G$  if and only if  $e$  is a Kuratowski edge of  $B$ .*

Following [12], for a (possibly empty) subset  $S$  of vertices of  $G$ , a pair  $(H, K)$  of subgraphs of  $G$  is an  $S$ -decomposition, if

- (i) each edge of  $G$  belongs to precisely one of  $H, K$  and
- (ii)  $H \cap K = S$ .

For  $|S| = 0, 1$ , let  $H^+ = H, K^+ = K$ , and for  $|S| = 2$ , let  $H^+$  (respectively  $K^+$ ) be obtained from  $H$  (respectively,  $K$ ) by adding an edge between the two vertices of  $S$ .

**Lemma 2.8.** *Let  $G$  be a graph with a 2-exceptional edge and let  $(H, K)$  be an  $S$ -decomposition of  $G$  with  $|S| \leq 2$ . Then, precisely one of  $H^+, K^+$  is non-planar.*

*Proof.* If both are planar, so is  $G$ , a contradiction, so at least one is non-planar.

Suppose that both are non-planar. As  $e$  is not on any Kuratowski graph of  $G$ ,  $G - e$  has the same Kuratowski graphs as  $G$ . Let  $K_H$  be a Kuratowski graph of  $H^+$  and  $K_K$  a Kuratowski graph of  $K^+$ . Since at most one branch of  $K_K$  and  $K_H$  contains  $S$ , for each  $K \in \{K_K, K_H\}$ , every drawing of  $K$  has a crossed edge that is not an edge of the graph in  $\{K_K, K_H\} \setminus \{K\}$ . This shows that any drawing of  $K_K \cup K_H$  has at least two crossings, implying that  $cr(G - e) \geq 2$ , a contradiction.  $\square$

For  $k = 0, 1, 2, 3$ , let  $\mathcal{E}_k$  be the family of  $k$ -connected graphs that contain 2-exceptional edges. Our main theorem describes these sets, but recursive description of  $\mathcal{E}_2 \setminus \mathcal{E}_3$  requires an additional lemma:

**Lemma 2.9** ([12]). *Let  $(H, K)$  be an  $\{u, v\}$ -decomposition of  $G$  and suppose that  $cr(H) = cr(H^+)$ . Then,  $cr(G) = cr(K + \lambda uv) + cr(H)$ , where  $\lambda$  is the maximum number of edge-disjoint paths from  $u$  to  $v$  in  $H$ .*

**Theorem 2.10.** *Let  $G$  be a graph that has a 2-exceptional edge  $e$  with endvertices  $b$  and  $c$ . Then*

1.  $G \in \mathcal{E}_0 \setminus \mathcal{E}_1$  is disconnected, all but one of its components are planar, and the non-planar component belongs to  $\mathcal{E}_1$ .
2.  $G \in \mathcal{E}_1 \setminus \mathcal{E}_2$  is connected, but not 2-connected, all but one of its blocks are planar, and the non-planar block belongs to  $\mathcal{E}_2$ .
3.  $G \in \mathcal{E}_2 \setminus \mathcal{E}_3$  is obtained from a subdivision of  $G' \in \mathcal{E}_3$  by replacing its edge  $st$  of multiplicity  $\mu$  with a planar graph  $H$  containing vertices  $s$  and  $t$ , such that  $H + st$  is 2-connected and there are at least  $\mu$  edge-disjoint  $s, t$ -paths in  $H$ .
4.  $G \in \mathcal{E}_3$  is a cyclization of four tiles, as described in Theorem 4.2.

*Proof.* Claims 1 and 2 follow from applying Lemma 2.8, with  $|S| = 0, 1$ , respectively. As we defer the proof of Theorem 4.2 to the next sections, we only need to prove Claim 3.

Suppose that  $(H, K)$  is a  $\{u, v\}$ -decomposition of  $G$  that has exceptional edges. By Lemma 2.8, we may assume  $H^+$  is planar. Then by Lemma 2.9,  $cr(G) = cr(K + \lambda uv)$  and  $cr(G - e) = cr((K - e) + \lambda uv)$ . Therefore,  $e$  is exceptional in  $K + \lambda uv$ . Applying

this reduction to any  $(H, K)$  decomposition in which  $H$  has vertices not in  $K$  reduces  $G$  to a subdivision of a graph in  $\mathcal{E}_3$ . This reduction has a constructive counterpart: any edge  $f$  of a graph in  $\mathcal{E}_2$  can be subdivided, yielding a graph of  $\mathcal{E}_2$ . If the original edge was exceptional, so are both new edges. Furthermore, if  $e = uv$  is not the only exceptional edge of  $G$ , then  $e$  can be replaced by any planar 2-connected  $H$ , for which  $H + uv$  is also planar. The resulting graph is again in  $\mathcal{E}_2$ . Moreover, if  $uv$  has multiplicity  $\lambda$ , some of its edges can be replaced by  $H$  simultaneously, provided  $H$  has at least that many edge-disjoint  $u, v$ -paths. Thus, any graph of  $\mathcal{E}_2$  can be obtained starting with a graph in  $\mathcal{E}_3$ , applying subdivisions and replacing edges by described planar graphs, proving Claim 3.  $\square$

### 3 Tile decomposition method

For clarity, we describe the structure of our characterization of graphs with 2-exceptional edges in this section. It will follow the ideas of recent characterization of 2-crossing-critical graphs [4]. The approach can be abstracted into the following steps, which allow to decompose an abstract graph with properties of interest into smaller pieces called tiles. The tiles are a tool often applied in the investigation of crossing critical graphs [2, 3, 4, 7, 9, 10, 11]. The method structures arguments as follows:

1. *Limit connectivity of graphs of interest.* In both instances, we focus on 3-connected graphs, showing how to obtain graphs of lower connectivity from these and identifying exceptional less connected instances. For us, this step is simple as all graphs fit the pattern; in characterization of 2-crossing-critical graphs, it involved analyzing the exceptional graphs.
2. *Identify a skeleton graph  $K$ .* In the case of 2-crossing-critical graphs, the skeleton graph  $K$  is  $V_{10}$ . In our case, it is the graph  $K$  in which contracting the edge incident with two degree three vertices produces  $K_5$ .
3. *Study drawings or embeddings of the skeleton graph.* In the case of 2-crossing-critical graphs,  $V_{10}$  has two nonhomeomorphic drawings in the plane, which turned to be better analyzed as two essentially different projective-planar embeddings. For a graph  $G$  with 2-exceptional edge  $e$  and the skeleton graph  $K$ , we show that in any optimal drawing of  $G - e$ , the subdrawing of  $K - e$  is determined up to homeomorphism.
4. *Find a skeleton graph  $H \cong K$  and its drawing/embedding that offers sufficient structure for finding tiles.* Usually this amounts to a skeleton graph, for which in the selected embedding, all bridges lie in well-controlled faces. For 2-crossing-critical graphs, there were three steps (friendly embedding, pre-tidy  $V_{10}$ , tidy  $V_{10}$ ). Showing existence of such embeddings and skeleton graphs turned to be an important step in both cases. After this step, a *standard quadruple* was introduced in both cases to carry the information about the investigated graph  $G$ , its selected drawing or embedding  $\Pi$ , and the tidy skeleton graph  $K \cong H \subseteq G$  that were required for subsequent proofs. Once a special skeleton graph and its drawing are defined, introduce a standard labelling with respect to that skeleton and its drawing.
5. *Restrict bridges of (parts of) the skeleton graph.* In the case of 2-crossing-critical graphs, bridges of  $V_{10}$  are shown to be either edges or small stars, and their attachments are near in the  $V_{10}$  subdivision. In our case, we show that there exists a

skeleton graph  $K$ , such that all its bridges that are not edges lie in the infinite face of some of its optimal drawings, and that after removing the  $K_4$  subgraph of the skeleton with its parallel edges, we (roughly) obtain a join of two 3-connected planar graphs.

6. *Combine bridges into tiles.* In the case of 2-crossing-critical graphs, this analysis relies on identifying types of edges in the  $V_{10}$  subdivision and then splitting pieces between two consecutive edges of a specific type. Our instance is simpler: we show that our bridges constitute a sequence of four tiles, whose cyclization yields a graph of interest.
7. *Prove that every tiled structure yields a graph of interest.* Once the structure is determined, this is usually an easy task, and to confirm intuition about the listed steps, it can even be done as soon as the tiles are conjectured.

We conclude this section by introducing the needed notation related to tiles. As in our approach we do not need the gadgets limiting crossing numbers of tiles, only the very basics are needed. For most recent developments on the theory of tiles, see [3, 4].

A *tile* is a triple  $T = (G, \lambda, \rho)$ , where  $G$  is a graph and  $\lambda, \rho$  are two disjoint sequences of distinct vertices of  $G$ , called the *left and right wall* of  $T$ , respectively. Two tiles  $T = (G, \lambda, \rho)$  and  $T' = (G', \lambda', \rho')$  are *compatible*, if  $|\rho| = |\lambda'|$ . The *join of two compatible tiles*  $T$  and  $T'$  with  $\rho = (\rho_1, \dots, \rho_w)$  and  $\lambda' = (\lambda'_1, \dots, \lambda'_w)$  is defined as the tile  $T \otimes T' := (G'', \lambda, \rho')$ , where  $G''$  is the graph obtained from the disjoint union of  $G$  and  $G'$  by identifying  $\rho_i$  with  $\lambda'_i$ , for  $i = 1, \dots, w$ . Specially, if  $\rho_i = \lambda'_i$  is a vertex with precisely two neighbors (after the identification), we replace it with a single edge in  $G''$  of multiplicity equal to the smaller of the multiplicities of the edges incident with  $\rho_i = \lambda'_i$ . This technical detail is important when considering 3-connected graphs. Since the operation  $\otimes$  is associative, we can safely define the *join of a compatible sequence of tiles*  $\mathcal{T} = (T_0, T_1, \dots, T_m)$  as  $\otimes \mathcal{T} = T_0 \otimes T_1 \otimes \dots \otimes T_m$ . The *cyclization* of a self-compatible tile  $T = (G, \lambda, \rho)$ , denoted by  $\circ T$ , is the ordinary graph obtained from  $G$  by identifying  $\lambda_i$  with  $\rho_i$  for  $i = 1, \dots, w$ . The *cyclization of a self-compatible sequence of tiles*  $\mathcal{T} = (T_0, T_1, \dots, T_m)$  is  $\circ \mathcal{T} := \circ(\otimes \mathcal{T})$ . Again, possible vertices with two neighbors are replaced with an edge maintaining smaller edge multiplicity, as above. We will also need the concept of a *reversed* tile of  $T$ , which is the tile with the two walls exchanged,  $T^{\leftrightarrow} = (G, \rho, \lambda)$ .

### 4 3-connected graphs with 2-exceptional edges

In this section, we describe the class of graphs whose members are precisely all the 3-connected graphs containing at least one 2-exceptional edge. In particular, we define such a class and show that all its elements are 3-connected and have 2-exceptional edges. In the following sections, we show that any graph with 2-exceptional edges belongs to this class.

For  $i \geq 1$ , let  $G_1^i = u_i v_i$  be an edge of multiplicity  $i$ , and  $O_i = (G_1^i, (u_i), (v_i))$  a corresponding tile. Let  $\mathcal{O}$  be the family of all tiles  $O_i, i \geq 1$ .

For  $i, j \geq 1$ , let  ${}^i G_2^j$  be the graph obtained by identifying the vertices  $v_i$  and  $u_j$  of  $O_i$  and  $O_j$ , respectively. Then  ${}^i G_2^j$  has a vertex  $w$  of degree  $i + j$  and two vertices  $u_i, v_j$  of degree  $i, j$ , respectively, see Figure 2. By  ${}^i Q^j = ({}^i G_2^j, (u_i), (v_j, w))$ , we denote the tile constructed using  ${}^i G_2^j$ .

Let  $s_1, s_2, s_3$  and  $s_4$  be the vertices of  $K_4$ . We use  $H = {}^0H_{1,1}^0$  to denote the graph obtained from such a  $K_4$  by doubling the edges of the path  $s_4s_1s_2s_3$  and adding to  $s_3$  and  $s_4$  a new edge leading to a new vertex  $w_2$  and  $w_1$ , respectively (see Figure 2). The graph  $H^i = {}^0H_{1,1}^i$  is obtained from the disjoint union of  $H$  and  $G_1^i$  by identifying  $s_1$  of  $H$  with  $v_i$  of  $G_1^i$ , and let  ${}^jH^i = {}^jH_{1,1}^i$  be the graph obtained from the disjoint union of  $G_1^j$  and  $H^i$  by identifying the vertex  $u_j$  of  $G_1^j$  with  $s_2$  of  $H^i$ . Note that for  $i, j = 0$  the graph  ${}^iH^j$  is defined independently of  $G_1^i, G_1^j$ , which only exist for  $i, j \geq 1$ .

For  $k$  a positive integer, we denote by  ${}^jH_k^i$  the graph obtained from  ${}^jH^i$  by increasing multiplicity of one of the edges  $s_1s_3$  or  $s_2s_4$  (but not both) to  $k$ . Finally, for  $l$  a positive integer, the graph  ${}^jH_{k,l}^i$  is obtained from  ${}^jH_k^i$  by increasing the multiplicity of the edge  $s_3s_4$  to  $l$ .

For any integers  $i, j, k$  and  $l$  such that  $i, j \geq 0$ , and  $k, l \geq 1$ , we define a tile  ${}^jR_{k,l}^i = ({}^jH_{k,l}^i, (u_i, w_2), (v_j, w_1))$ ; for  $i, j = 0$ , we set  $u_0 = s_1$  and  $v_0 = s_2$ , respectively.

We use  $\mathcal{R}$  to denote the family consisting of all the tiles  ${}^jR_{k,l}^i$  and all the tiles that can be obtained from these by arbitrarily increasing multiplicity of each edge on the path  $s_4s_1s_2s_3$  (which must, however, remain at least two).

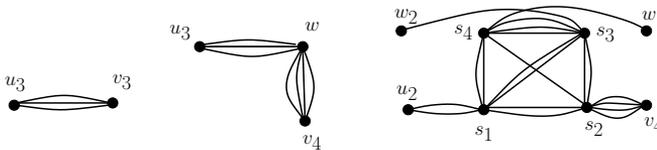


Figure 2: From left to right:  $O_3, {}^3Q^4$ , and  ${}^2R_{2,3}^4$ .

Let  $\mathcal{P}$  be the family that contains each tile  $T$  that can be obtained from any 3-connected planar  $G$  containing a degree three vertex  $x$  with neighbors  $u, v, w$  as  $T = (G - x, (u), (v, w))$ . In addition to these, let us assume that  $\mathcal{P}$  also contain each tile  ${}^iQ^j$ , with  $i, j \geq 1$ .

A pre-exceptional sequence  $\mathcal{T}$  of tiles has four tiles  $(T_1, T_2, T_3, T_4)$ , such that:

(C<sub>1</sub>)  $T_1 = O_{i_1} \in \mathcal{O}$ ,

(C<sub>2</sub>)  $T_2 \in \mathcal{P}$ ,

(C<sub>3</sub>)  $T_3 = {}^{i_3}R_{k_3, l_3}^{j_3} \in \mathcal{R}$ ,

(C<sub>4</sub>)  $T_4^{\leftrightarrow} \in \mathcal{P}$ , and

(C<sub>5</sub>) if  $T_2 = {}^{i_2}Q^{j_2}$ , then  $i_3 \geq 1$  (respectively, if  $T_4^{\leftrightarrow} = {}^{i_4}Q^{j_4}$  then  $j_3 \geq 1$ ).

Then there are exactly six types of pre-exceptional sequences: depending on whether  $T_2$  (respectively,  $T_4$ ) comes from a 3-connected planar graph, or  $T_2 = {}^{i_2}Q^{j_2}$  (respectively, if  $T_4^{\leftrightarrow} = {}^{i_4}Q^{j_4}$ ), we have sixteen types of  $\mathcal{T}$ 's, which are reduced to six by considering (C<sub>5</sub>) and the symmetry. Such six types of  $\mathcal{T}$ 's are shown in Figure 3.

The signature of a pre-exceptional sequence  $\mathcal{T} = (T_1, T_2, T_3, T_4)$  is an ordered list of integers  $\sigma(\mathcal{T}) = (i_1, i_2, j_2, i_3, j_3, k_3, l_3, i_4, j_4)$  which is obtained from  $\mathcal{T}$  as follows: the integer  $i_1$  is taken from  $T_1 = O_{i_1}$ . If  $T_2$  comes from a 3-connected planar graph  $G$ , then  $i_2$  and  $j_2$  are both equal to the number of edge-disjoint  $u, v$ -paths in  $G$ , and in the other case, we take them from  $T_2 = {}^{i_2}Q^{j_2}$ . The integers  $i_3, j_3, k_3, l_3$  are taken from

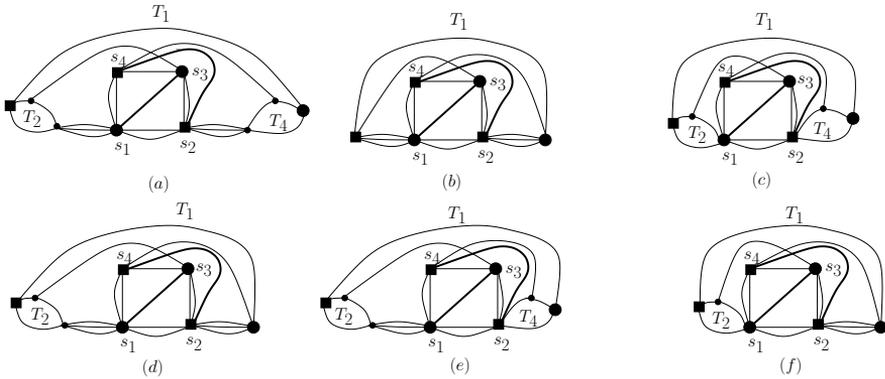


Figure 3: The six types of pre-exceptional sequences.

$T_3 = {}^{i_3}R_{k_3, l_3}^{j_3}$ . Finally, if  $T_4 \in \mathcal{P}$  comes from a 3-connected planar graph  $G$ , then  $i_4$  and  $j_4$  are both equal to the number of edge-disjoint  $u, v$ -paths in  $G$ , and in the other case, we take them from  $T_4^{\leftrightarrow} = {}^{i_4}Q^{j_4}$ . The *relevant signature*  $\rho(\mathcal{T})$  is the set of positive values in  $\{i_1, i_2, j_2, i_3, j_3, i_4, j_4\}$ . An pre-exceptional sequence  $\mathcal{T}$  is *exceptional*, if either

- (i)  $\min \rho(\mathcal{T}) = 2$  or
- (ii)  $\min \rho(\mathcal{T}) = 1$  and  $l_3 \geq 2$ .

The following technical observation is also needed in our proof.

**Lemma 4.1.** *Let  $G$  be a graph, and let  $\{u, v, w\}$  be a vertex cut of  $G$  such that  $\{u, v, w\}$  is not the neighborhood of a vertex in  $G$ . Let  $G_i$  be a non-trivial bridge of  $\{u, v, w\}$  in  $G$ , and obtain  $G'_i$  by connecting a new vertex  $t_i$  to each of  $u, v, w$ . Then,  $G$  is 3-connected, if and only if each of  $G'_i$  is 3-connected.*

*Proof.* First we assume that  $G$  is 3-connected. Let  $p, q$  be any two vertices in  $G'_i$ . If  $p = t_i$ , choose as  $p$  any vertex of  $G_j$  for  $j \neq i$ . The three internally disjoint paths in  $G$  connecting  $p$  and  $q$  can be easily converted to three internally disjoint paths connecting  $t_i$  and  $q$ . If both  $p$  and  $q$  are distinct from  $t_i$ , there are three internally disjoint paths  $pP_1q, pP_2q, pP_3q$  in  $G$ . At least two of these paths are in  $G_i$ , and if the third one is not, it uses two of the vertices  $u, v, w$ . We may assume it is  $pP_uuP'_3vP_vq$ . But then,  $pP_uut_i vP_vq$  is a path of  $G'_i$ , internally disjoint from  $P_1, P_2$ , completing the necessity direction.

For sufficiency direction, let  $p, q$  be two arbitrary vertices of  $G$ . If they are in the same  $G_i$ , there are three internally disjoint paths in  $G'_i$  that connect them, which can easily be augmented to paths in  $G$ . If  $p \in G_i, q \in G_j, i \neq j$ , then let  $pP_u^p ut_i, pP_v^p vt_i, pP_w^p wt_i$  be three internally disjoint paths between  $p$  and  $t_i$  in  $G_i$ , and similarly  $qP_u^q ut_j, qP_v^q vt_j, qP_w^q wt_j$  be three internally disjoint paths between  $q$  and  $t_j$  in  $G_j$ . Then,  $pP_u^p uP_u^q q, pP_v^p vP_v^q q, pP_w^p wP_w^q q$  are three internally disjoint paths between  $p$  and  $q$  in  $G$ .  $\square$

**Theorem 4.2.**

- (I) A graph  $G$  is in  $\mathcal{E}_3$ , if and only if  $G$  can be described as a cyclization of an exceptional sequence  $\mathcal{T} = (T_1, T_2, T_3, T_4)$ .

(II)  $G$  has two 2-exceptional edges if and only if  $k_3 = 1$  in  $T_3 = {}^{i_3}R_{k_3, l_3}^{j_3}$ .

(III) If  $G \in \mathcal{E}_0$ , then  $\text{cr}(G) = 2$ .

*Proof.* We show Claims (II) and (III), and sufficiency of Claim (I). The necessity of Claim (I) is covered in the subsequent sections.

Let  $G$  be a cyclization of  $\mathcal{T} = (T_1, T_2, T_3, T_4)$  as above. In this proof, we use the notation and drawings provided in Figure 3. Without loss of generality, we may assume that the edge of  $T_3$  with endvertices  $s_1$  and  $s_3$  has multiplicity  $k_3$ .

In order to show that  $G \in \mathcal{E}_3$ , we need verify that it is 3-connected and that it contains 2-exceptional edges. From the construction of  $G$  and Lemma 4.1 it is not difficult to see that  $G$  is 3-connected. Thus it is enough to show that the edge  $e = s_2s_4$  is a 2-exceptional edge of  $G$ . In other words, we need to show that:

(I1)  $\text{cr}(G - e) \leq 1$ ,

(I2)  $e$  is not a Kuratowski edge of  $G$ , and

(I3)  $\text{cr}(G) \geq 2$ .

For  $r \in \{2, 4\}$ , we assume that in any drawing of  $G$  under consideration, the restriction of such a drawing to  $T_r$  is a plane graph. Indeed, since there exists a drawing of  $T_r$  that has all the wall vertices on the same face, such a face can be made the infinite face by inversion and the resulting drawing or its mirror can be used to form the required drawing of  $G$ .

Then, regardless the multiplicities of the edges in  $T_1$  or  $T_3$ , the drawings in Figure 3 imply that  $\text{cr}(G) \leq 2$  and  $\text{cr}(G - e) \leq 1$ , which reduces (I1) to (I3).

For (I2), seeking a contradiction, assume that  $e$  lies on some Kuratowski subgraph  $K$  of  $G$ . As  $G$  has exactly 4 vertices not in  $T_2 \cup T_4$  (namely,  $s_1, s_2, s_3$  and  $s_4$ ), then for some  $r \in \{2, 4\}$ ,  $T_r$  contains at least one node of  $K$ . On the other hand, the planarity of  $T_r$  and the fact that  $T_r$  contains only three wall vertices imply that at least one node of  $K$  is not in  $T_r$ . Because  $T_r$  is joined to exactly three vertices of  $G - T_r$ ,  $K$  is not homeomorphic to  $K_5$ . Then  $K$  is homeomorphic to  $K_{3,3}$ . Since any set of four edges with an end in  $T_r$  and the other in  $G - T_r$  contain at least one pair of parallel edges, the number of nodes of  $K$  in  $T_r$  must be exactly one. In particular, this implies that  $s_1, s_2, s_3$ , and  $s_4$  are nodes of  $K$ , and that each of  $T_2$  and  $T_4$  contains exactly one node of  $K$ . Since the node of  $K$  in  $T_4$  is joined to  $s_2$  and  $s_4$ , these vertices belong to the same chromatic class in  $K$ , however, as  $e = s_2s_4$  is an edge in  $K$ ,  $s_2$  and  $s_4$  belong to distinct chromatic classes, a contradiction.

Now we show that (I3)  $\text{cr}(G) \geq 2$ . We analyze separately two cases, depending on whether  $\min \rho(\mathcal{T}) = 2$  or  $\min \rho(\mathcal{T}) = 1$ .

**Case 1.**  $\min \rho(\mathcal{T}) \geq 2$ . Let  $H$  be the graph that results by deleting from  $G$  all the vertices of  $T_2$  and  $T_4$  that are not in the face containing the wall vertices. Note that if  $T_2$  (respectively,  $T_4$ ) comes from a 3-connected planar graph, then  $T_2 \cap H$  (respectively,  $T_4 \cap H$ ) is a cycle of length at least 3, and in the other case,  $T_2 \cap H = T_2 = {}^{i_2}Q^{j_2}$  (respectively,  $T_4 \cap H = T_4 = {}^{i_4}Q^{j_4}$ ). Clearly,  $\text{cr}(G) \geq \text{cr}(H)$ . Now we verify that  $\text{cr}(G) \geq 2$  by showing that  $\text{cr}(H) \geq 2$ .

Let  $D$  be an optimal drawing of  $H$ . As usual, we assume that parallel edges are placed very closely to each other in  $D$ , and hence, that they have the same number of crossings. Then if any edge of the path  $P := s_4s_1s_2s_3$  is crossed in  $D$ , we are done.

Let  $h$  be an edge of  $H$  with endvertices  $s_1$  and  $s_3$ . From Figure 3, we can see that  $H$  contains a subgraph  $J$  homeomorphic to  $K_{3,3}$  that avoids  $e$  and  $h$  (the thick edges). Indeed, the nodes of  $J$  are  $s_1, s_2, s_3, s_4$  and  $p, q$  the endvertices of  $T_1$ . If the restriction  $D[J]$  of  $D$  to  $J$  has at least two crossings, or at least one of  $e, h$  is crossed in  $D$ , we are done. Thus we assume that  $\text{cr}(D[J]) = 1$  and that both  $e, h$  are clean in  $D$ . In particular, note that the restriction  $D'$  of  $D$  to the subgraph  $H'$  of  $H$  induced by  $s_1, s_2, s_3$  and  $s_4$  is a plane graph and that  $H'$  contains to  $K_4$  as subgraph.

On the other hand,  $\min \rho(\mathcal{T}) \geq 2$  implies that the number of parallel edges between  $p$  and  $q$  is at least 2, and hence both  $p$  and  $q$  are in the same face of  $D'$ , or we are done. By using stereographic projection if necessary, we may assume that such a face is the infinite face of  $D'$ . Then exactly one vertex of  $H'$ , say  $s'$ , is in the triangular finite face formed by the other three vertices. Moreover, from the definition of  $J$  it follows that at least one of  $p$  or  $q$  is joined with  $s'$  by a path  $P'$ , which is internally disjoint of  $H'$ .

Since, for  $r = 1, 2$ ,  $H$  contains at least two  $p, s_r$ -paths edge disjoint and internally disjoint from  $H'$ , then  $s' \notin \{s_1, s_2\}$ , or such  $p, s_r$ -paths provide the required crossings. If  $s' \in \{s_3, s_4\}$ , then  $P'$  crosses at least one edge of  $E(P) \cup \{e, h\}$ , which is impossible.

**Case 2.**  $\min \rho(\mathcal{T}) = 1$  and  $l_3 \geq 2$ . Since  $T_2$  and  $T_4$  are connected and  $l_3 \geq 2$ , then  $G$  contains a subgraph  $H$  which is homeomorphic to the graph shown in Figure 4. As before, we verify  $\text{cr}(G) \geq 2$  by showing that  $\text{cr}(H) \geq 2$ .

Let  $C$  be the double cycle of  $H$  whose vertices are  $s_1, s_2, s_3$ , and  $s_4$ , and let  $D$  be an optimal drawing of  $H$ . If any edge of  $C$  is crossed, we are done. Then we may assume that the restriction  $D[C]$  of  $D$  looks like in Figure 4. Then  $u$  and  $v$  must be in the same face of  $D[C]$ : otherwise, at least one edge of  $C$  is crossed by the edge with endvertices  $u$  and  $v$  and we are done. Without loss of generality, we assume that both are in the infinite face of  $D[C]$ , as shown in Figure 4. Note that the paths  $s_1us_3$  and  $s_2vs_4$  cross each other because they have alternating ends in  $C$ . Similarly, if the edges  $s_1s_3$  and  $s_2s_4$  are in the same face of  $C$ , we have the required crossing. Then at least one of them is in the infinite face of  $C$  and such an edge must cross with some of  $s_1us_3$  or  $s_2vs_4$  providing the required crossing. This proves (I3) and hence sufficiency of (I).

The inequality in (I3) was independently checked with the crossing number computing tool of Chimani et al. [5]

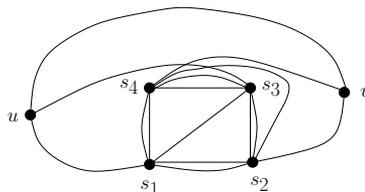


Figure 4: A drawing of  $H$ .

Now we show (II) that  $G$  has two 2-exceptional edges if and only if  $k_3 = 1$  in  $T_3 = {}^{i_3}R_{k_3, l_3}^{j_3}$ . Note that, by symmetry, the argument used in (I2) also shows that any edge of  $G$  with endvertices  $s_1$  and  $s_3$  is not a Kuratowski edge. Let us denote by  $K_3$  the set of edges of  $G$  with ends  $s_1$  and  $s_3$ .

On the other hand, from the definition of  ${}^{i_3}R_{k_3, l_3}^{j_3}$ , we know that  $e$  is the only edge of  $G$  with ends  $s_2$  and  $s_4$ . Then Lemmas 2.2 and 2.5 imply that  $s_1$  and  $s_3$  (respectively,  $s_2$  and

$s_4$ ) are in the same chromatic class of nodes of a subdivision  $K$  of  $K_{3,3}$  in  $G$ .

We derive a contradiction from the assumption that  $G$  contains a non-Kuratowski edge  $e' \notin K_3 \cup \{e\}$ . Then Lemma 2.2 implies that  $e'$  joins two nodes in the same chromatic class of nodes of  $K$ . Furthermore, since  $e' \notin K_3 \cup \{e\}$ , then it must have an end in  $\{s_1, s_2, s_3, s_4\}$  and the other in a node of  $K \setminus \{s_1, s_2, s_3, s_4\}$ . The existence of such an  $e'$  implies that  $K \cup K_3 \cup \{e, e'\} \subseteq G$  contains  $K_5$  as subdivision. This and Lemma 2.5 imply that all the edges of  $G$  are Kuratowski edges, a contradiction.

Let us assume that  $k_3 = 1$  in  $T_3 = {}^{i_3}R_{k_3, l_3}^{j_3}$ . Then  $K_3$  consists of an edge  $h$ . From (II) and (I3) we have  $\text{cr}(G) = 2$ . Now, if we draw  $e$  inside of the square  $s_1s_2s_3s_4$  in Figure 3, we get, in all the cases, an optimal drawing of  $G$  in which  $h$  is crossed by  $e$ . This proves that  $h$  is 2-crossing-critical, and hence  $e$  and  $h$  are both 2-exceptional edges.

On the other hand, since  $\text{cr}(G) = 2$  for any  $k_3 \geq 1$ , then if  $k_3 \geq 2$  we have that no edge in  $K_3$  is 2-crossing-critical and since  $K_3 \cup \{e\}$  are the only non-Kuratowski edges of  $G$ , then  $k_3 > 1$  implies that  $e$  is the only 2-exceptional edge of  $G$ . This proves (II).

Finally, we show (III) that if  $G \in \mathcal{E}_0$ , then  $\text{cr}(G) = 2$ .

- (1) If  $G \in \mathcal{E}_3$  we are done by (II) and (I3).
- (2) If  $G \in \mathcal{E}_2 \setminus \mathcal{E}_3$  then, by Theorem 2.10(3), there exists  $G' \in \mathcal{E}_3$  such that  $\text{cr}(G) = \text{cr}(G')$ . Since  $\text{cr}(G') = 2$ , we are done.
- (3) If  $G \in \mathcal{E}_1 \setminus \mathcal{E}_2$  then, by Theorem 2.10(2), all but one of blocks of  $G$ , say  $B$ , are planar and  $B \in \mathcal{E}_2$ . Then  $\text{cr}(G) = \text{cr}(B)$ . If  $B \in \mathcal{E}_3$  (respectively  $B \in \mathcal{E}_2 \setminus \mathcal{E}_3$ ) we are done by (1) (respectively (2)).
- (4) If  $G \in \mathcal{E}_0 \setminus \mathcal{E}_1$  then, by Theorem 2.10(1), all but one of the components of  $G$ , say  $C$ , are planar and  $C \in \mathcal{E}_1$ . Then  $\text{cr}(G) = \text{cr}(C)$ . Clearly, exactly one of the following is true:  $C \in \mathcal{E}_3$ ,  $C \in \mathcal{E}_2 \setminus \mathcal{E}_3$ , or  $C \in \mathcal{E}_1 \setminus \mathcal{E}_2$ . Note that these three cases have been studied, respectively, in (1), (2), and (3), and in all of them the conclusion is  $\text{cr}(C) = 2$ , as required.  $\square$

## 5 The skeleton graph

In this section, we present the skeleton graph, which is the essential structure of 3-connected graphs with 2-exceptional edges.

First, we introduce some notation, aligned with the notation of [4]. Let  $H$  be a subdivision of a graph  $G$  and let  $e$  be an edge of  $G$ . If  $s$  and  $t$  are the ends of  $e$ , then we denote by  $sHt$  the  $s, t$ -path of  $H$  which results from subdividing  $e$ . We use  $v_{st}$  to denote an arbitrary, but fixed, vertex of  $(sHt)$ .

Following this general notation, we turn our attention to the specific graph  $K''$ , which we show to constitute the skeleton of graphs in  $\mathcal{E}_3$ . It is depicted in Figure 5. We always use the labelling from the figure (and we call it *standard labelling*), so  $\{\{a, b, c\}, \{x, y, z\}\}$  constitute the bipartition of a subdivision  $K \cong K_{3,3}$ , and  $bc, yz$  are the exceptional edges of  $K''$ . We will use  $K'$  for  $K + bc$ , and refer to it as a *pre-skeleton*.

A *bypass* of a non-Kuratowski edge  $e$  of  $K''$  is the union of any two  $K_{3,3}$  branches that together with  $e$  form a cycle containing exactly 3 nodes of  $K''$ . A bypass is *open*, if the endvertices of  $e$  are removed from it and *closed* if they are contained in it. The common node  $t \in \{a, b, c, x, y, z\}$  of the  $K''$  branches used in the bypass is the *peak* of the bypass, and we denote the bypass by  $K_t$ . For instance,  $K_x = bK''xK''c$  and  $K_b = yK''bK''z$ .

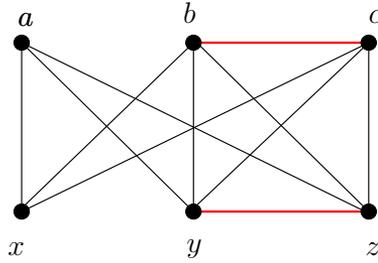


Figure 5: The skeleton graph  $K''$ .

We will be vague by using  $K_t$  both for open and closed bypass, but where distinction will be required,  $(K_t)$  is open and  $[K_t]$  is closed.

Besides bypasses, *claws* at  $a$  and  $x$  will play a significant role. We define them by  $D_a := aK'x \cup aK'y \cup aK'z$  and  $D_x := xK'a \cup xK'b \cup xK'c$ . A *talon* of a claw is its one degree vertex. A claw is *open*, if we remove its talons. Again, we will use  $[D_a]$  and  $[D_x]$  for closed, and  $(D_a)$ ,  $(D_x)$  for open claws. The graph  $K_4'' := K'' \setminus ((D_a) \cup (D_x))$  is a subdivision of  $K_4$ . When  $H \cong K''$  is a subdivision of  $K''$ , we extend the definition of bypasses and claws naturally to  $H$ .

The next lemmas restrict the possible bridges of a skeleton graph in  $G$ . The first one shows that graphs in  $\mathcal{E}_3$  do not contain a subdivision of a graph, obtained from  $K'$  by adding a path with ends in two distinct bypasses, except for three exceptions.

**Lemma 5.1.** *Let  $H := K' + P$ , where  $P$  is a path joining two distinct elements of  $\{(K_x), (K_y), (K_z)\}$  and internally disjoint from  $K'$ . Then every edge of  $H$  is a Kuratowski edge, or  $P$  joins distinct vertices of  $\{x, y, z\}$ .*

*Proof.* By Lemma 2.3, we may assume that  $H$  has no vertices of degree 2. In particular,  $P$  is an edge. Assume that  $P$  does not join distinct vertices of  $\{x, y, z\}$ . Let  $q$  and  $r$  be the endvertices of  $P$ . As  $(K_x)$ ,  $(K_y)$ , and  $(K_z)$  are open,  $P$  does not join two vertices of  $\{a, b, c\}$ . By Lemma 2.1, we have that all the edges in  $H$  except  $bc$  are Kuratowski edges. It remains to show that in each case,  $bc$  belongs to a subdivision of  $K_{3,3}$ . By the symmetry of  $K'$ , we need only analyze the cases in which  $q \in \{y, v_{yb}\}$  and  $r \in \{v_{bz}, v_{cz}\}$ .

If  $q = y$  and  $r = v_{bz}$ , then  $H \setminus \{by, cx\}$  is the required subdivision.

If  $q = y$  and  $r = v_{cz}$ , then  $H \setminus \{bx, cy\}$  is the required subdivision.

If  $q = v_{yb}$  and  $r = v_{bz}$ , then  $H \setminus \{cx, bv_{bz}\}$  is the required subdivision.

If  $q = v_{yb}$  and  $r = v_{cz}$ , then  $H \setminus \{x\}$  is the required subdivision. □

The next lemma restricts paths adjacent to paths linking two nodes of  $K$ .

**Lemma 5.2.** *Let  $H := K' + P + Q$ , where  $P$  is a path joining two distinct elements of  $\{x, y, z\}$  and internally disjoint from  $K'$ , and  $Q$  is a path joining an inner vertex  $p$  of  $P$  with a vertex  $q \in V(K') \setminus V((D_a))$  and internally disjoint from  $K' + P$ . Then every edge of  $H$  is a Kuratowski edge, or  $cr(H - bc) \geq 2$ , or  $q \in P$ .*

*Proof.* Without loss of generality, we may assume  $P = yPz$ . By Lemma 2.3, we may assume that  $H$  has no vertices of degree 2. Lemma 2.1 implies that all the edges in  $H$  except  $bc$  and possibly edges of  $P \cup Q$  are Kuratowski edges.

Since  $q \notin V((D_a))$  and  $H$  has no vertices of degree 2, then  $q$  is a node of  $H$  distinct from  $a$ . If  $q \in \{y, z\}$ , we are done. If  $q = x$ , then  $(K' - bc) \cup P \cup Q$  is a subdivision of  $K_{3,4}$ , and  $\text{cr}(H) \geq 2$ . So we assume that  $q \in \{b, c\}$ . By symmetry, we may assume that  $q = b$ . In this case,  $H \setminus \{cx, by, bz\}$  is a subdivision of  $K_{3,3}$  that uses the edge  $bc$  and all edges of  $P \cup Q$ , concluding the proof.  $\square$

Lemma 5.1 implies the following useful structure of optimal drawings of  $G - e$ :

**Lemma 5.3.** *Let  $G \in \mathcal{E}_3$  and let  $e$  be its 2-exceptional edge with endvertices  $b$  and  $c$ , and let  $K_{3,3} \cong K \subseteq G$ . If  $D$  is an optimal drawing of  $G - e$  and  $D_K$  is the induced subdrawing of  $K$ , then the ends of  $e$  lie on a face of  $D_K$  that is not incident with its crossing.*

*Proof.* By Lemma 2.2,  $b, c$  are independent nodes of  $K$ , so they are on the boundary of some (possibly different) face(s) of  $D_K$ . Up to homeomorphism,  $D_K$  is drawn in Figure 6. The parts in the bipartition of  $K_{3,3}$  are  $\{1, 3, 5\}$  and  $\{2, 4, 6\}$ . Any pair of independent nodes of  $K$  lies on a common face of  $D_K$ , and  $E_1, E_2$  are the only faces contradicting the conclusion of Lemma 5.3.

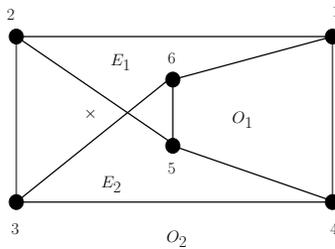


Figure 6: The unique drawing of  $K_{3,3}$ , up to homeomorphisms.

By symmetry, we may assume  $b, c$  lie in  $E_1$ , implying  $\{b, c\} = \{2, 6\}$ . As  $\text{cr}(G - e) \leq 1$ , the crossing of  $D_K$  is the only crossing of  $D$ . As  $\text{cr}(G) \geq 2$ , there is an arc in  $D$  connecting the two segments of the boundary of  $E_1$  having  $b, c$  as ends. As this path avoids the only crossing of  $D$ , it is a path in  $G - e$  that connects two distinct open  $bc$ -bypasses, and at least one of its endvertices is not a node of  $K$ , contradicting Lemma 5.1.

Therefore,  $b, c$  lie either in  $O_1$  or  $O_2$ , and neither of these is incident with the crossing of  $D$ , as claimed.  $\square$

In the analysis, we use the following result from [12]. We also repeat some notation.

**Lemma 5.4** (Lemma 3 in [12]). *Let  $G$  be a 3-connected non-planar graph, and let  $e$  be a non-Kuratowski edge of  $G$  with endvertices  $b$  and  $c$ . Then the graph  $G/e$  is 2-connected but not 3-connected, and the graph  $G - b - c$  is connected, but not 2-connected.*

Let  $H := G - b - c$  and let  $T(H)$  be the block-cutpoint tree of the graph  $H$ . Lemma 5.4 implies that  $T(H)$  is a non-trivial tree. According to Theorem 1.2,  $G - b - c$  has all vertices in two blocks for any graph  $G$  with 2-exceptional edge  $bc$ .

By now, we are ready to establish that the pre-skeleton graph is a subdivision contained in any graph with a 2-exceptional edge.

**Theorem 5.5.** *Let  $G \in \mathcal{E}_3$  with  $e$  its 2-exceptional edge with endvertices  $b$  and  $c$ . There exist a pre-skeleton subgraph  $H$  with  $K' \cong H \subseteq G$  and an edge  $f$  of  $G$ , such that  $H + f$  is a subdivision of the skeleton graph  $K''$ .*

*Proof.* By Lemma 2.5,  $G$  has a subdivision  $K \cong K_{3,3}$ . As  $e$  is not a Kuratowski edge,  $e$  is not in  $K$ . Let  $uPv$  be any maximal  $K$ -avoiding path containing  $e$ . As  $G$  is 3-connected, Theorem 2.6 implies that  $u, v$  are distinct nodes of  $K$ ; we choose the standard labelling of  $K$  such that  $\{u, v\} = \{b, c\}$ . As  $G$  is 3-connected,  $P$  is either an edge, or there exists a  $K + P$ -avoiding path  $pQq$  connecting a vertex of  $p \in (P)$  with a vertex  $q \in G \setminus V(P)$ . One of the paths  $bPQq$  and  $cPQq$  contains  $e$ , hence Theorem 2.6 applied to it implies  $q = a$ . Then,  $K \cup P \cup Q$  is a subdivision of  $K_{3,4}$  containing  $e$ , a contradiction to  $e$  not being a Kuratowski edge. Hence  $Q$  does not exist and  $bPc$  is just a single edge, showing that  $H := K + e$  is a pre-skeleton in  $G$ .

Next we prove that there exists a  $K'$ -avoiding path  $Q$ , connecting two nodes from  $\{x, y, z\}$ . We may be forced to change  $K'$  for this.

**Claim 5.6.** *There exists a pre-skeleton subdivision  $\bar{K}'$  in  $G$ , such that  $K' \cap \bar{K}'$  contains the closed bypasses of  $e$  (in particular,  $b, c, x, y, z$  are nodes of  $\bar{K}'$ ), and there is an  $\bar{K}'$ -avoiding path  $P$  of  $G$  connecting  $q, r \in \{x, y, z\}$ .*

*Proof.* As there are two blocks of  $H = G - b - c$  containing all its vertices, at least two vertices  $p, q$  of  $\{x, y, z\}$  are in the same block  $B$  of  $H$ . We may assume without loss of generality that they are  $y$  and  $z$ . As  $B$  is 2-connected, there are two internally disjoint paths  $yP_1z$  and  $yP_2z$  in  $B$ . By Lemma 5.1, the intersection of  $P_1 \cup P_2$  with  $K_x \cup K_y \cup K_z$  is contained in  $\{x, y, z\}$ .

Suppose that  $x \notin P_1 \cup P_2$ . If either  $P_1 \cap (D_a)$  or  $P_2 \cap (D_a)$  is empty, it is the required path and  $\bar{K}' = K'$ . So we may assume they are both non-empty. Let  $a'$  be a vertex of  $P_1 \cup P_2$ , such that  $xD_aa'$  has no vertex of  $P_1 \cup P_2$ . We may assume  $a' \in P_1$ . As  $(P_1 \cup a'D_aa') \cap K'$  is contained in  $[D_a]$ ,  $\bar{K}' := (K' - (D_a)) \cup (P_1 \cup a'D_aa'D_aa')$  is the required skeleton and  $P_2$  is the required  $\bar{K}'$ -avoiding  $y, z$ -path.

Now we may assume  $x \in P_1 \cup P_2$ . Then  $x, y, z$  split  $C := P_1 \cup P_2$  into three arcs  $C_{xy} := xCy$ ,  $C_{yz} := yCz$ , and  $C_{zx} := zCx$ , such that  $C = xC_{xy}C_{yz}zCx$ . Let  $a_x, a_y, a_z$  be the  $a$ -closest vertices of  $P_1 \cup P_2$  in  $xD_aa, yD_aa, zD_aa$ , respectively; they may all be equal to  $a$ .

If each segment of  $C_{xy}, C_{yz}, C_{zx}$  contains a vertex of  $a_x, a_y, a_z$ , then let  $a''$  be the one of  $a_x, a_y, a_z$  in  $C_{yz}$  and let  $a'$  be any other one. Then,  $C \cup (([a_xD_aa] \cup [a_yD_aa] \cup [a_zD_aa]) - (aD_aa'') - (C_{yz}))$  contains an  $x, y, z$ -claw  $T$  with center  $a'$  and is internally disjoint from  $C_{yz}$ . Hence,  $\bar{K}' = (K' - (D_a)) \cup T$  is the required pre-skeleton and  $C_{yz}$  the  $y, z$ -path internally disjoint from  $\bar{K}'$ .

If a segment  $C_{xy}, C_{yz}, C_{zx}$  contains two vertices of  $a_x, a_y, a_z$ , and a segment  $C_0$  contains none, we relabel  $\{x, y, z\}$ , so that  $C_{yz} = C_0$ . Then  $D_a \cup P_1 \cup P_2 - (C_{yz})$  contains a claw  $T$  with center  $a$  and talons  $x, y, z$  so that  $C_{yz}$  is internally disjoint from it; again,  $\bar{K}' = (K' - (D_a)) \cup T$  is the required pre-skeleton and  $C_{yz}$  the  $y, z$ -path internally disjoint from  $\bar{K}'$ .

If a segment  $C_3$  of  $C_{xy}, C_{yz}, C_{zx}$  contains all three vertices of  $a_x, a_y, a_z$ , then in  $C \cup D_a$ , there is a  $C$ -avoiding path  $pRq$  from  $(C_3)$  to  $C - [C_3]$ . We relabel  $\{x, y, z\}$ , so that  $p \in C_{xy}$  and  $q \in C_{zx}$ . Then,  $(C \cup pRq) - (C_{yz})$  contains an  $x, y, z$ -claw  $T$ , with center in  $p$ , so that  $C_{yz}$  is internally disjoint from it; again,  $\bar{K}' = (K' - (D_a)) \cup T$  is the required pre-skeleton and  $C_{yz}$  the  $y, z$ -path internally disjoint from  $\bar{K}'$ .  $\square$

Without loss of generality, we label the nodes of  $K$  so that  $P = yPz$ .

**Claim 5.7.** *The path  $yPz$  from Claim 5.6 is an edge.*

*Proof.* Seeking a contradiction, assume that  $P$  has an internal vertex  $v$ . Consider an optimal drawing  $D$  of  $G - e$ . Since  $K \subset G - e$  and  $\text{cr}(G - e) \leq 1$ , we have  $\text{cr}(G - e) = 1$ . Thus the drawing  $D$  restricted to  $K$  is homeomorphic to the drawing  $D_K$  in Figure 6. Because  $G$  is 3-connected,  $G - y - z$  contains a path  $Q$  from  $v$  to  $K - y - z$ , which is internally disjoint from  $K \cup P$ . If  $q$  is the endvertex of  $Q$  in  $K$ , Lemma 5.2 implies  $q \in V(D_a)$ .

Since the crossing  $d$  of  $D_K$  is the only crossing of  $D$ , no edge of  $P \cup Q$  is crossed in  $D$ . Hence  $P$  is drawn in a face of  $D_K$  incident with two independent nodes. By the symmetry of  $D_K$ , we may assume that  $v \in E_1$  or  $v \in O_1$ . See Figure 6.

If  $v \in O_1$ , then  $\{y, z\} = \{4, 6\}$  or  $\{y, z\} = \{1, 5\}$ . By the symmetry of  $D_K$ , we may assume  $\{y, z\} = \{4, 6\}$ , and hence  $x = 2$ . This implies that  $a = 1$  or  $a = 5$ . If  $a = 5$ , then  $\{b, c\} = \{1, 3\}$ . Since  $\text{cr}(G - e) < \text{cr}(G)$ , then there must be a simple arc  $\alpha$  of  $D$  contained in  $O_2$ , with endpoints on its boundary and separating  $b$  from  $c$  (1 from 3 in Figure 6). Since  $d$  is the only crossing of  $D$ ,  $\alpha$  corresponds to a path  $R$  of  $G$  which joins two vertices of  $V(K') \setminus V(D_a)$ . Lemma 5.1 implies  $R$  joins  $x$  and  $z$ . Now it is easy to see that  $K' \cup P \cup R$  contains a subdivision of  $K_5$ , contradicting Lemma 2.4.

For the final case,  $v \in E_1$ . Then, without loss of generality,  $P$  connects  $y = 2$  and  $z = 6$ , implying  $x = 4$ . As  $q$  is on some path in the boundary of  $E_1$ ,  $a$  can be any of the vertices 1, 3, or 5. Suppose  $a = 1$ . This implies  $bc = 35$ , contradicting Lemma 5.3. Suppose next  $a = 3$ , implying  $bc = 15$ . As  $\text{cr}(G) \geq 2$ , there is an arc in  $D$  separating 1 from 5 in  $O_1$ . By Lemma 5.1 and as there is only one crossing in  $D$ , this arc is a path  $R$  from 4 to 6. As  $K' \cup P \cup R$  has a subdivision of  $K_5$ , it contradicts Lemma 2.4. The subcase  $a = 5$  is similar, with  $bc = 13$  and  $2R4$ . □

Thus  $f$  is an edge connecting  $y$  and  $z$ , and  $K' \cup f$  is a subdivision of  $K''$ , as claimed. □

**Proposition 5.8.** *Let  $G \in \mathcal{E}_3$  and let  $K'' \cong H \subseteq G$  be its skeleton subgraph with standard labelling. Then  $G$  does not contain a path  $P$  internally disjoint from  $K''$  with endvertices in any of the pairs  $\{a, b\}$ ,  $\{a, c\}$ ,  $\{x, y\}$ ,  $\{x, z\}$ .*

*Proof.* Let  $u, v$  be the endvertices of  $P$ . If  $\{u, v\} \in \{\{a, b\}, \{a, c\}, \{x, y\}, \{x, z\}\}$  then the subgraph  $(K'' + P) \subset G$  contains  $K_5$  as subdivision. This and Lemma 2.4 imply that  $G$  has no exceptional edges, a contradiction. □

**Corollary 5.9.** *Let  $G \in \mathcal{E}_3$ . Any non-Kuratowski edge  $g$  of  $G$  is parallel to  $e$  or  $f$ .*

*Proof.* Let  $u, v$  be the endvertices of  $g$ . By Lemma 2.2, we know that  $u, v$  are independent vertices of  $K$  and by Proposition 5.8, we have that  $\{u, v\} = \{b, c\}$  or  $\{u, v\} = \{y, z\}$ . □

Let  $e$  and  $f$  be the exceptional edges of  $G \in \mathcal{E}_3$ . The graph obtained from  $G$  by adding a parallel edge  $f'$  to  $f$  is also an exception to Statement 1.1, but such a graph contains only  $e$  as an exceptional edge, because both edges  $f$  and  $f'$  are non-critical. These observations yield the following corollary to Theorem 4.2:

**Corollary 5.10.** *Let  $G \in \mathcal{E}_3$ . The number of 2-exceptional edges of  $G$  is at most two.*

*Proof.* By Corollary 5.9, it is enough to show that if  $f$  and  $f'$  are parallel edges of  $G$ , then they are not critical.

Suppose an arbitrary of them is and let it be  $f$ . Then  $\text{cr}(G - f) \leq 1$ . As  $K \subset G - f$ , there exists an optimal drawing  $D$  of  $G - f$  in which  $f' \notin K$  has no crossings. By drawing  $f$  very close to  $f'$  in  $D$ , we get a drawing of  $G$  with exactly one crossing, a contradiction implying that  $f$  is not critical and hence not exceptional.  $\square$

### 6 Bridges of the skeleton graph

Let  $H$  be a subgraph of a graph  $G$ . An  $H$ -bridge is either an edge not in  $H$  together with its two incident vertices that are in  $H$  or is obtained from a component  $J$  of  $G - V(H)$  by adding all edges incident with a vertex of  $J$  together with their incident vertices in  $H$ . This concept will be helpful for the remainder of this section. A bridge is trivial, if it is just an edge, and non-trivial otherwise. For a graph  $H$  and its bridge  $B$ , any vertex of  $\text{att}(B) := V(H) \cap V(B)$  is an attachment of  $B$ .

First we exhibit the structure of an optimal drawing of  $G - e$ .

**Lemma 6.1.** *Let  $G \in \mathcal{E}_3$ , let  $K''$  be its skeleton graph, let  $e$  be its 2-exceptional edge with endvertices  $b$  and  $c$ , and let  $f$  be a non-Kuratowski edge not parallel to  $e$ . If  $D$  is an optimal drawing of  $G - e$ , then the drawing  $D$  restricted to  $K + f$  is homeomorphic to the drawing in Figure 7 (right) and  $b, c$  are the ends of  $e$ .*

*Proof.* Let  $D$  be an optimal drawing of  $G - e$  and  $K$  the  $K_{3,3}$  subdivision in  $K''$ . As  $e$  is a 2-exceptional edge,  $D$  has a unique crossing and  $D$  restricted to  $K$  is homeomorphic to the drawing  $D_K$  in Figure 7 (left). Using symmetry, stereographic projection, and Lemma 5.3, we may assume that the ends of  $e$  are  $b$  and  $c$ . Hence,  $f \in \{xy, yz, xz\}$ .

If  $f \in \{xy, xz\}$ ,  $\text{cr}(G) \geq 2$  implies there is a path  $P$  of  $G - e$  that is by Lemma 5.1 drawn from  $y$  to  $z$  in  $E$ , yielding a  $K_5$  subdivision in  $K'' \cup P$ , contradicting Lemma 2.4. Thus  $y$  and  $z$  are the ends of  $f$  and the drawing  $D$  restricted to  $K + f$  is homeomorphic to the drawing in Figure 7 (right), as required.  $\square$

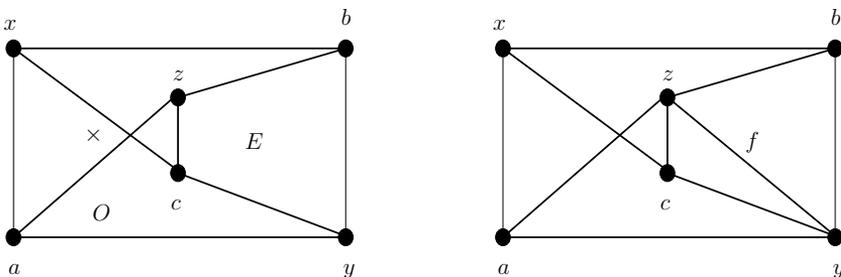


Figure 7: If  $D$  is an optimal drawing of  $G - e$ , then the drawing  $D$  restricted to  $K + f$  is homeomorphic to the right drawing.

In what follows, we call  $(G, H, e, D)$  a *standard quadruple*, abbreviated *sq*, if  $G \in \mathcal{E}_3$ ,  $K'' \cong H \subseteq G$ , such that  $H$  has standard labelling,  $e$  is a 2-exceptional edge of  $G$ , and  $D$  is an optimal drawing of  $G - e$ , with the induced subdrawing of  $H - e$  drawn as in Figure 7.

**Lemma 6.2.** *Let  $G \in \mathcal{E}_3$ . Then, there exists a standard quadruple  $(G, H, e, D)$  containing  $G$ .*

*Proof.* As  $G \in \mathcal{E}_3$ , there exists a 2-exceptional edge  $e$  of  $G$ . Theorem 5.5 guarantees existence of the skeleton graph  $H$  in  $G$ , that is a subdivision of  $K''$ . Finally, Lemma 6.1 yields existence of the desired drawing  $D$  of  $G - e$ .  $\square$

**Lemma 6.3.** *Let  $G \in \mathcal{E}_3$ , let  $K'' \cong H \subseteq G$  with the standard labelling. If  $B$  is a bridge of  $H$ ,  $v \in \text{att}(B)$ , and  $v$  is not a node of  $H$ , then  $\text{att}(B) \subseteq [D_a] \cup [D_x]$ .*

*Proof.* Let  $v$  be as in the statement. Seeking a contradiction, suppose that there exists  $u \in \text{att}(B) \setminus ([D_a] \cup [D_x])$ . Then  $u \in (K_y) \cup (K_z)$  (or equivalently,  $u \in (K_b) \cup (K_c)$ ). Since  $B$  is connected, it contains an  $u, v$ -path, say  $P$ . From Lemma 5.1 and the hypothesis that  $v$  is not a node of  $H$ , we have that  $v \in (aHx)$ . By the symmetry of  $K''_4$ , we need only analyze the case in which  $u = v_{by}$ . But in such a case,  $(H \cup P) \setminus \{ay, bx, cz\}$  is a subdivision of  $K_{3,3}$  containing both  $bc$  and  $yz$  as edges, which contradicts that  $bc$  is a 2-exceptional edge.  $\square$

Let  $G \in \mathcal{E}_3$ . In what follows, we will denote with  $H_4$  as the subgraph of  $G$  induced by the four vertices that are ends of the non-Kuratowski edges of  $G$ . By Corollary 5.9,  $H_4$  is well-defined for any  $G$ , i.e. it is independent of the choice of  $H$ .

**Lemma 6.4.** *Let  $(G, H, e, D)$  be a standard quadruple of a graph  $G \in \mathcal{E}_3$ . The subgraph  $H_4$  of  $G$  is isomorphic to  $K_4$  with some multiple edges, and it has only one bridge that contains both  $a$  and  $x$  and the only crossing of  $D$ .*

*Proof.* As  $G$  is 3-connected and  $H_4$  is induced in  $G$ ,  $H_4$  has no trivial bridges.

As there exists an  $H_4$ -avoiding path  $aHx$ ,  $a$  and  $x$  are in the same  $H_4$ -bridge  $B$ , and that bridge is crossed in  $D$ . If  $B$  is the only bridge, we are done, otherwise let  $B'$  be any other bridge.

As  $G$  is 3-connected, each of  $B, B'$  has at least three attachments. As  $e \notin B'$  and the only crossing of  $D$  is in  $B$ ,  $B'$  is drawn planarly in  $D$ . Then  $D$  implies the attachments of  $B'$  are either  $b, y, z$  or  $c, y, z$ , both contradicting Lemma 5.2.

Let  $\{u, v\} \in \{\{c, z\}, \{b, z\}, \{b, y\}, \{c, y\}\}$ . Now consider the branch of  $H$  connecting  $u$  to  $v$ . If such branch is not an edge, then it has one internal vertex, say  $w$ . Using the 3-connectivity, the drawing  $D$ , and the fact that  $B$  is the only bridge in  $H_4$ , we know that there is a path, that is internally disjoint from  $H$ , connecting  $w$  to a vertex in  $(D_a) \cup (D_x)$ . However, no such path exists by Lemma 6.3.  $\square$

For a graph  $G \in \mathcal{E}_3$ , we will denote the only bridge of its graph  $H_4$  by  $B_4$ .

**Lemma 6.5.** *Let  $K'' \cong H \subseteq G$  and let  $P$  be a path from  $u \in (K_a)$  to  $v \in [K_x]$  with  $\{u, v\} \neq \{a, x\}$ , and internally disjoint from  $H$ . Then every edge of  $H + P$  is a Kuratowski edge. The claim also holds with the role of  $a$  and  $x$  exchanged.*

*Proof.* By Lemma 2.3, we may assume that  $H$  has no vertices of degree 2. It is enough to show that in each case  $H$  has a subdivision of  $K_{3,3}$  or  $K_5$  containing either  $bc$  or  $yz$ . By the symmetry of  $H$ , we need only analyze the following cases; the same arguments also show the claim with the role of  $a$  and  $x$  interchanged:

If  $u = v_{az}$  and  $v = v_{cx}$ ,  $(H \cup P) \setminus \{by, cz, xHv\}$  is a subdivision of  $K_{3,3}$ .

If  $u = v_{az}$  and  $v = c$ ,  $(H \cup P) \setminus \{xc, cz, by\}$  is a subdivision of  $K_{3,3}$ .

If  $u = a$  and  $v = v_{cx}$ ,  $(H \cup P) \setminus \{xv\}$  is a subdivision of  $K_5$ .

If  $u = a$  and  $v = c$ ,  $(H \cup P) - xc$  is a subdivision of  $K_5$ .  $\square$

**Lemma 6.6.** *Let  $(G, H, e, D)$  be a standard quadruple of a graph  $G \in \mathcal{E}_3$ . If  $P$  is a  $b, y$ -path of length at least 2 contained in  $B_4$ , then  $P$  intersects  $[aHx]$ .*

*Proof.* Seeking a contradiction suppose that  $P \cap [aHx] = \emptyset$ .

By Lemma 6.5 and our hypothesis, at least one of  $P \cap (K_a)$  or  $P \cap (K_x)$  is empty. By symmetry, we may assume that  $P \cap (K_a) = \emptyset$ .

If  $P \cap (K_x)$  is also empty, then  $P$  is internally disjoint from  $H$ . Then,  $(H - (by)) \cup P$  is a different choice of  $H$  for  $G$  whose structure contradicts Lemma 6.4, as the  $H_4$  produced by this  $H$  has a subdivided edge  $by$ . Hence,  $P \cap (K_x)$  is nonempty.

Lemma 6.1 and disjointness of  $P$  from  $(D_a) + x$  imply that there is a  $H$ -bridge  $B$  with attachments in  $y$  and  $(xHb)$ . By the previous paragraph, at least one attachment is in  $(xHb)$ . However, any path in  $B$  from  $y$  to  $(xHb)$  contradicts Lemma 6.5, concluding the proof.  $\square$

**Lemma 6.7.** *Let  $(G, H, e, D)$  be a standard quadruple of a graph  $G \in \mathcal{E}_3$ . Then, there exist vertices  $v_c$  and  $v_z$  in  $B_4$ , such that  $v_c c$  and  $v_z z$  are the only attaching edges of  $B_4$  at  $c$  and  $z$ . Moreover, these edges are crossed in  $D$ .*

*Proof.* We show the claim for  $v_c c$ , the claim for  $v_z z$  is analogous. Let  $\times$  be the crossing of  $D$ . By Lemma 6.5, there is no  $H$ -avoiding path in  $B_4$  from  $(\times Hc)$  to  $[D_a]$  avoiding  $\times$ . Let  $F$  be any face of  $D$  incident with the segment  $(\times Hc)$ .

As  $V(\partial F) \subset V([D_a]) \cup V((\times Hc))$ , existence of a vertex in  $(\times Hc)$  would contradict 3-connectivity of  $G$ . Hence,  $(\times Hc)$  lies on some edge  $cv_c$  of  $B_4$ , and  $cv_c$  is crossed in  $D$ . Analogously, we can conclude that  $(\times Hz)$  lies on some edge  $v_z z$  of  $B_4$ , and hence  $cv_c$  and  $v_z z$  are the only two crossing edges of  $D$ .

By Lemma 6.5 we know that  $G$  does not have a path internally disjoint from  $H$ , with an end in  $c$  and the other end belonging to  $(K_a)$ . Thus, the existence of any other edge of  $B_4$  attaching at  $c$ , together with the location of  $c$  in  $D$  imply that at least one of  $v_z z$  or  $zy$  is crossed by some edge in  $B_4 - cv_c$ , contradicting that  $cr(D) = 1$ .  $\square$

**Lemma 6.8.** *Let  $(G, H, e, D)$  be a standard quadruple of a graph  $G \in \mathcal{E}_3$ . There exists  $K'' \cong H' \subseteq G$  and an optimal drawing  $D'$  of  $G - e$ , such that  $a'z$  and  $cx'$  are edges of  $H'$ , and any face incident with the crossing of  $D'$  has no bridges of  $H'$  drawn in it.*

*Proof.* By Lemma 6.7, there exist vertices  $v_c$  and  $v_z$ , such that  $cv_c$  and  $zv_z$  are edges of  $G$ . Moreover,  $cv_c$  crosses  $zv_z$  in  $D$  and such a crossing  $\times$  is the only crossing of  $D$ .

Let  $D''$  be the subdrawing of  $D$ , induced by  $G - c - z$ . Since  $\times$  is the only crossing of  $D$ , then  $b, v_c, v_z$  and  $y$  lie in the same face  $F$  of  $D''$ . Note that  $F$  contains (in the interior) vertices  $c$  and  $z$ . By Lemma 6.1, the boundary walk  $\partial F$  can be decomposed into  $bP_1v_cP_2v_zP_3yb$ .

Note that if some  $P \in \{P_1, P_2, P_3\}$  is not a path, then  $P$  must have a cut vertex, say  $w$ . By Lemma 6.7 we know that  $v_c, y$  and  $v_z, b$  are the only vertices of  $B_4$  adjacent to  $c$  and  $z$ , respectively. From this and the supposition that  $w$  is a cut vertex of  $P$  it follows that  $w$  is a cut vertex of  $G - e$ , which contradicts the connectivity of  $G - e$ . Thus we can assume that  $P_1, P_2$  and  $P_3$  are paths. Define  $H' = H - (D_a) - (D_x) \cup [bP_1v_cP_2v_zP_3y] \cup cv_c \cup zv_z$ . We relabel  $a' := v_z$  and  $x' := v_c$ . Observing how  $H'$  is drawn in  $D$ , the claim follows with  $D = D'$ .  $\square$

A standard quadruple  $(G, H', e, D')$  from Lemma 6.8 is called a *tidy* standard quadruple, abbreviated *tsq*.

**Lemma 6.9.** *Let  $(G, H, e, D)$  be a tsq of a graph  $G \in \mathcal{E}_3$ . Then,  $B = B_4 - c - z$  has two cut vertices  $u, v$  in  $[aHx]$ , and  $uHv$  is an edge of  $G$ , and any  $u, v$ -path in  $G$  avoiding  $H$  is an edge.*

*Proof.* Let  $F$  be the face of the subdrawing of  $D$  of the cycle  $C = bHxHaHyb$  not containing the crossing of  $D$ . As  $C$  is clean in  $D$ ,  $\partial F = C$ . Tidiness implies that  $D[B]$  is contained in  $F$  and that  $D[B + by]$  is planar. Now, let  $F'$  be the face of the subdrawing  $D[B]$  containing the edge  $by$ . Note that  $D[B]$  is a drawing of  $B_4 - c - z$  and hence contains no edges of  $H_4$ . We decompose the boundary of  $F'$  into two paths,  $bHxHaHy$  and  $P$  as follows:

As  $H$  is tidy,  $bHxHaHy$  is on the boundary of  $F'$ , and let  $P$  be the remaining part of the boundary, i.e.  $\partial F' = yPbHxHaHy$ . As  $P$  is a  $b, y$ -path in  $B$ ,  $P$  intersects  $[aHx]$  in a vertex  $v$  by Lemma 6.6. As  $v$  appears twice in the boundary of  $F'$ , it is a cut-vertex of  $B$ .

Let  $P = yP_1vP_2b$  and assume that  $P_1 - v, P_2 - v$  do not intersect  $[aHx]$ . Then,  $H_4 + P_1 + P_2 + vHxc + vHaz$  is a subdivision of  $K_5$ , a contradiction to Lemma 2.4. Thus there is a vertex  $u \in P \cap [aHx]$ ,  $u \neq v$ , and  $u$  is another cut-vertex of  $B$ .

Now consider any  $\{u, v\}$ -bridge  $B'$  in  $B$  with attachments in both  $u$  and  $v$  that has a vertex  $w$  distinct from  $u, v$ . As  $G$  is 3-connected, either  $B'$  contains (i)  $b$  or (ii)  $y$ , or (iii) an attachment of  $B$  on  $H + cx + az$ . The latter option (iii) is dismissed by tidiness:  $az, cx$  are the only edges from  $c, z$  to  $B$  in a tsq, and the vertices  $b, y$  can be interpreted as (i) or (ii). The former two options (i) and (ii) both contradict the claim that both  $u, v$  are cut vertices of  $B$ .

As any  $H$ -avoiding  $u, v$ -path is either an edge  $uv$  or contained in a  $B$ -bridge with attachments  $u, v$ , the lemma follows. □

**Lemma 6.10.** *Let  $(G, H, e, D)$  be a tsq. If there are no two internally disjoint  $b, x$ - (respectively  $a, y$ -) paths in  $B_4$ , then there is a cut vertex  $v \in bHx$  (respectively,  $v' \in aHy$ ), such that  $bv$  ( $yv'$ ) is an edge and any  $H$ -bridge  $B' \subseteq B_4$  attaching at  $b$  ( $y$ ) is an edge  $bv$  ( $yv'$ ).*

*Proof.* We prove the claim for  $bHx$ , the proof for  $aHy$  is analogous.

Suppose there are no two disjoint  $b, x$ -paths, implying there is a cut-vertex  $v \in bHx$ . Let  $u$  be any vertex of  $bHv$ . As  $G$  is 3-connected, there is a path from  $u$  to  $G - (bHv)$  in  $G - b - v$ . This path is in  $B$ , and by Lemma 5.1, it does not attach to  $H_4$ . Therefore it attaches in  $(D_a) \cup (D_x) - bHv$ , a contradiction to  $v$  being a cut. Therefore if there is a cut vertex  $v$ , then  $bv$  is an edge.

The same argument implies that any  $H$ -bridge  $B' \subseteq B$  attaching at  $b$  has attachments only at  $b$  and  $v$ , and is therefore a trivial bridge. □

**Lemma 6.11.** *Let  $(G, H, e, D)$  be a tsq. The edges  $by, bz, cy$  have multiplicity at least two.*

*Proof.* Suppose on the contrary that at least one of the mentioned edges has multiplicity one. First we handle  $bz$  and  $cy$  with a slightly modified drawing with the existing crossing of  $xc, az$  replaced by a crossing of an edge with assumed multiplicity one, and for  $by$  we also twist the modified drawing:

Augment the sub-drawing  $D[B_4]$  by contracting the edges  $xc, az$  slightly with  $c, z$  following their edge's drawings past the crossing, so that  $xc, az$  no longer cross, and call this new drawing  $D'$  (cf. Figure 8 left). As  $B_4$  contains all the vertices of  $G$  and all edges not in  $B_4$  are connecting nodes of  $H_4$ , it is a routine exercise to extend the drawing  $D'$  to a

drawing of  $G$  with just one crossing, in which either  $bz$  is crossing  $cx$  or  $cy$  is crossing  $az$ , contradicting criticality of  $bc$  whenever either  $bz$  or  $cy$  have multiplicity one.

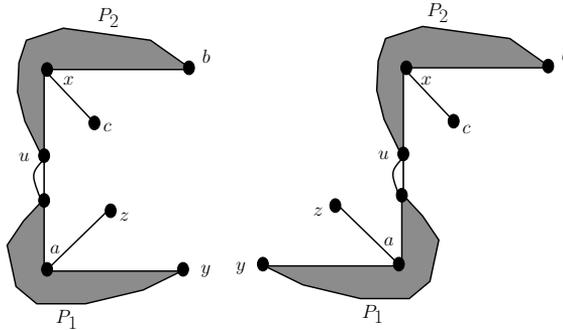


Figure 8: A twist in a drawing demonstrating multiplicity of certain edges.

For  $by$ , we need to twist  $D'$ : As  $u$  is a cut-vertex of the bridge  $B$  by Lemma 6.9, the outer face of  $D'$  has the following boundary:  $bHxcxHuvHazaHyP_1vuP_2b$ . Let  $D''$  be obtained by twisting  $D'$  at  $u$ , so that  $D''$  is a drawing of  $B$  with the outer face  $bHxcxHuP_1yHazaHuP_2b$  (cf. Figure 8, right). Then  $D''$  can be augmented to a drawing of  $G$  in which the only crossing is between  $by$  and  $az$ , a contradiction.  $\square$

**Lemma 6.12.** *Let  $(G, H, e, D)$  be a tsq. If there do not exist two edge disjoint  $p, q$ -paths in  $B_4$  for any of  $\{p, q\} \in \{\{a, x\}, \{a, y\}, \{b, x\}\}$ , then  $cz$  has multiplicity at least two.*

*Proof.* In the proof, we use the drawings  $D'$  and  $D''$  defined in the proof of Lemma 6.11.

For  $\{p, q\} = \{b, x\}$ , suppose there are no two edge disjoint  $b, x$ -paths. Therefore, there are no internally disjoint  $b, x$ -paths. By Lemma 6.10, there is a cut-vertex  $v$  in  $bHx$  and  $bv$  is an edge, and any other  $H$ -bridge attaching at  $b$  is a trivial edge  $bv$ . If the edge  $bv$  has multiplicity two, then there are two edge-disjoint  $b, x$  paths in  $B_4$ :  $vP_2uHx$  and  $vHx$  (they are edge disjoint, as them sharing an edge would imply  $G$  is not 3-connected). Hence the edge  $b, v$  has multiplicity one.

If  $cz$  is a single edge, then the drawing  $D''$  can be modified to a drawing of  $G$  in which the only crossing is of  $cz$  with  $bv$ , a contradiction establishing  $cz$  is a double edge. Symmetric arguments apply to the case  $\{p, q\} = \{y, a\}$ .

In the final case of  $\{p, q\} = \{a, x\}$ , Lemma 6.9 implies there is an edge  $uv$  in  $G$ , such that  $u, v$  are cut-vertices,  $uv$  is a single edge and  $D''$  is obtained from  $D[B]$  by twisting at the vertex  $u$ . If  $cz$  is a single edge,  $D''$  can be augmented to a drawing of  $G$  by  $cz$  crossing  $uv$  as its only crossing, the final contradiction establishing the claim.  $\square$

Now we have all the ingredients to establish necessity in Theorem 4.2.

*Proof of necessity in Theorem 4.2, (i).* Let  $G \in \mathcal{E}_3$ , and let  $(G, H, e, D)$  be its tidy standard quadruple, whose existence is guaranteed by Lemma 6.8. By Lemma 6.9, there exist two vertices  $p, q$  in  $[aHx]$ , such that  $G$  contains an edge  $h$  with endvertices  $p$  and  $q$  and any  $H$ -avoiding  $p, q$ -path is an edge. Let  $\mathcal{O}$  be the union of all these edges; then  $T_1 := (\mathcal{O}, (p), (q)) \in \mathcal{O}$ . Without loss of generality, we may assume that  $aHx = aHqh p Hx$ .

By definition of the tidy standard quadruple,  $az$  and  $cx$  are edges of  $G$ . Furthermore, Lemma 6.10 asserts that either there is an edge  $g$  with endvertices  $b$  and  $v$  such that any

$H$ -bridge  $B' \subseteq B_4$  attaching at  $b$  is an edge parallel to  $g$ , or there are two internally disjoint  $b, x$ -paths (in this case, we let  $b = v$ ) in the bridge  $B_4$ . Symmetrically by the same lemma, there is an edge  $g'$  with endvertices  $u, y$  with  $H$ -bridges within  $B_4$  restricted to edges parallel to  $g'$ , or there are two internally-disjoint  $a, y$ -paths in  $B_4$  (in this case, we let  $y = u$ ).

Let  $H_4$  be the subgraph of  $G$ , induced by the vertices  $\{b, c, y, z\}$ . We let  $R$  to be obtained from  $H_4$  by adding the two edges  $az$  and  $cx$ , and, if  $b \neq v$ , all the edges which are parallel to  $g$ , and, if  $y \neq u$ , all the parallel edges to  $g'$ . Note that when  $T_2$  (respectively,  $T_4$ ) is a  $Q$ -tile, we have  $v = x$  (respectively  $u = a$ ) in  $G$  due to suppression of vertices with two neighbors when joining tiles, but in  $R$ , we always have  $u \neq a$  and  $v \neq x$ . Lemma 6.11 implies that the edges  $by, bz$ , and  $cy$  have multiplicity at least 2. As  $bc$  is a single edge, we have that  $T_3 = (R, (a, u), (x, v))$  is a tile in  $\mathcal{R}$ .

Now consider the vertices  $p, v$ , and  $x$ . As  $cx$  is an edge,  $v$  is a vertex-cut in  $B_4$ , which disconnects  $b$  from  $x$ , and  $x, v$  are two attachments of an  $R$ -bridge  $B'$ . As  $p$  is a vertex-cut in  $B_4$  disconnecting  $a$  from  $x$ , then  $\{p, x, v\}$  form a cut in  $G$ , or they are all equal. If they are a cut, then they are all three distinct as  $G$  is 3-connected.

We first analyze the case when they are all distinct. Let  $P$  be a bridge of  $\{p, x, v\}$  disjoint from  $R$ , and let  $P'$  be the graph obtained from  $P$  by adding a vertex  $t$  adjacent to precisely its three attachments. As  $G$  is 3-connected, Lemma 4.1 implies that  $P'$  is 3-connected, so the tile  $T_2 = (P, (p), (x, v))$  is a tile in  $\mathcal{P}$ .

Suppose now that  $p = x = v$ . Then  $v \neq b$ . Let  $i$  be the multiplicity of the edge  $pq$ , and let  $j$  be the multiplicity of the edge  $vb$ . We set  $T_2 = ({}^iQ^j, (q), (p, b))$ , which is a tile in  $\mathcal{P}$ .

Symmetric arguments applied to  $y, a, q$ , and  $u$  imply that there is a tile  $T_4$ , such that  $T_4^{\leftrightarrow} \in \mathcal{P}$ . As vertices with just two neighbors are suppressed when joining tiles, we have that  $G$  is a join  $\circ\mathcal{T}$  of a pre-exceptional sequence  $\mathcal{T} = (T_1, T_2, T_3, T_4)$ .

To see that  $\mathcal{T}$  is exceptional, assume that  $\min \rho(\mathcal{T}) = 1$ . Thus, after joining the tiles, one of the edges  $pq, bv$ , or  $yu$  is a single edge. This implies that in  $G$ , there are no two internally disjoint  $w, s$ -paths for one of  $\{w, s\} \in \{\{a, x\}, \{a, y\}, \{b, x\}\}$ , and Lemma 6.12 implies  $cz$  has multiplicity at least two. In terms of  $\sigma(\mathcal{T}), l_3 \geq 2$  as desired.  $\square$

## 7 Conclusions

We conclude with some comments regarding the existence of  $k$ -exceptional edges. Theorem 4.2 immediately gives the following corollary, claimed by Širáň [12] and Kochol [8]:

**Corollary 7.1.** *Let  $G$  be a simple graph and  $e$  its crossing-critical edge with  $\text{cx}(G - e) \leq 1$ . Then,  $e$  is a Kuratowski edge of  $G$ .*

It is also easy to obtain the following:

**Corollary 7.2.** *For any integer  $k \geq 2$ , there exist infinitely many 3-connected graphs with  $k$ -exceptional edges.*

*Proof.* For  $k = 2$ , the claim follows from Theorem 4.2. For higher  $k$ , we only sketch the proof by induction; an attentive reader will be able to provide the technical details. Let  $\mathcal{F}_k$  be the family of 3-connected graphs with  $k$ -exceptional edges containing a tidy skeleton subdivision  $H$ , such that  $G - az - bc - cz$  is planar. By induction and Theorem 4.2, we assume that  $\mathcal{F}_{k-1}$  is infinite for  $k \geq 3$ . Let  $G \in \mathcal{F}_{k-1}$  be arbitrary. Assuming the standard labelling of  $H$ , we produce a graph  $G' \in \mathcal{F}_k$  as follows:

- i) For  $G_1$ , we make any edge of  $G - az - bc - cx$  have multiplicity at least  $k$ . Note that  $G_1$  is still planar.
- ii) For  $G_2$ , we add to  $G_1$  single edges  $az, cx$ ; this graph has crossing number 1 and any optimal drawing of  $G_2$  has  $az$  crossing  $cx$ .
- iii) For  $G_3$ , we add the edge  $bc$  with multiplicity  $k - 1$ . In any optimal drawing,  $bc$  edges cross the edge  $az$ , implying the crossing number of the graph  $G$  to be at most  $k$ . Should any other edge be crossed, that would add at least  $k$  crossings, implying crossing number  $\geq k$ . As the edges of  $G$  need to cross at least twice (this is the technical detail we omit, but it is true due to the construction of graphs in  $\mathcal{F}_2$ ),  $\text{cr}(G_3) = k$  and  $\text{cr}(G_3 - e) < k$  for any edge parallel to  $bc$ , hence  $G' = G_3$  has  $k$ -exceptional edges and the claim follows.  $\square$

Note that the graphs of Corollary 7.2 cannot be made simple by subdividing edges and connecting the new vertices in a cycle (the operation is called  $\pi$ -subdivision in [1]), as was done in [8]: then the new vertices introduced in  $\pi$ -paths would violate Lemma 2.5 and a  $K_5$  would be introduced in the new graph. Hence, the following remain open:

**Problem 7.3** ([8]). What is the smallest  $k$ , for which simple 3-connected graphs with  $k$ -exceptional edges exist? Clearly,  $3 \leq k \leq 4$ .

Hence, the simple graphs obtained by Kochol do not follow our tile structure, but as all the  $K_{3,3}$ 's of  $G$  need to share the endvertices of the exceptional edges, there may still exist an explicit description of graphs with  $k$ -exceptional edges. We therefore conclude with the following:

**Problem 7.4.** Is there a descriptive characterization (i.e. a tile description) of 3-connected graphs with  $k$ -exceptional edges?

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